

Welcome to Virtual NSF Day!



Monday
February 22, 2016

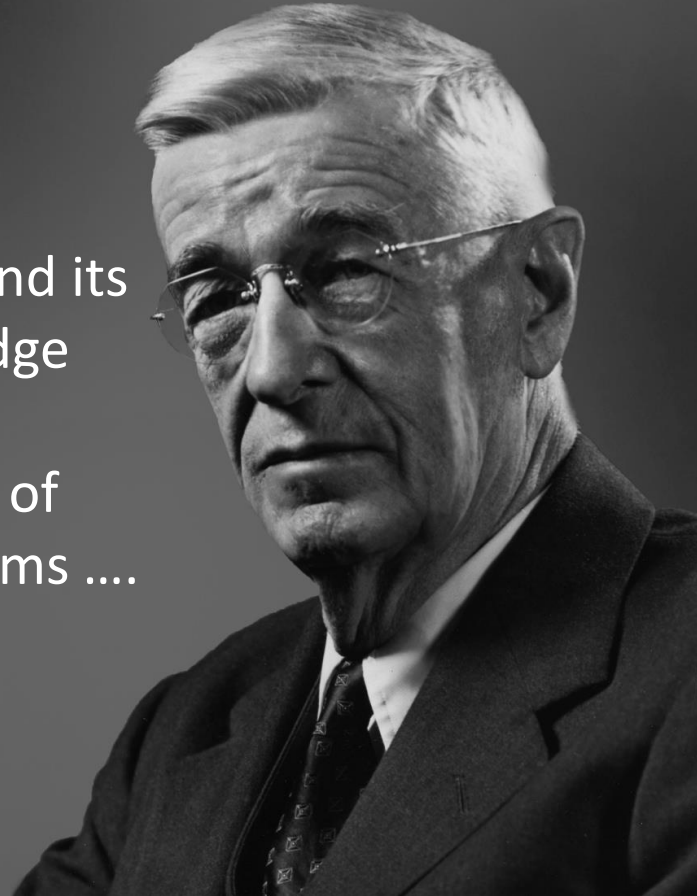


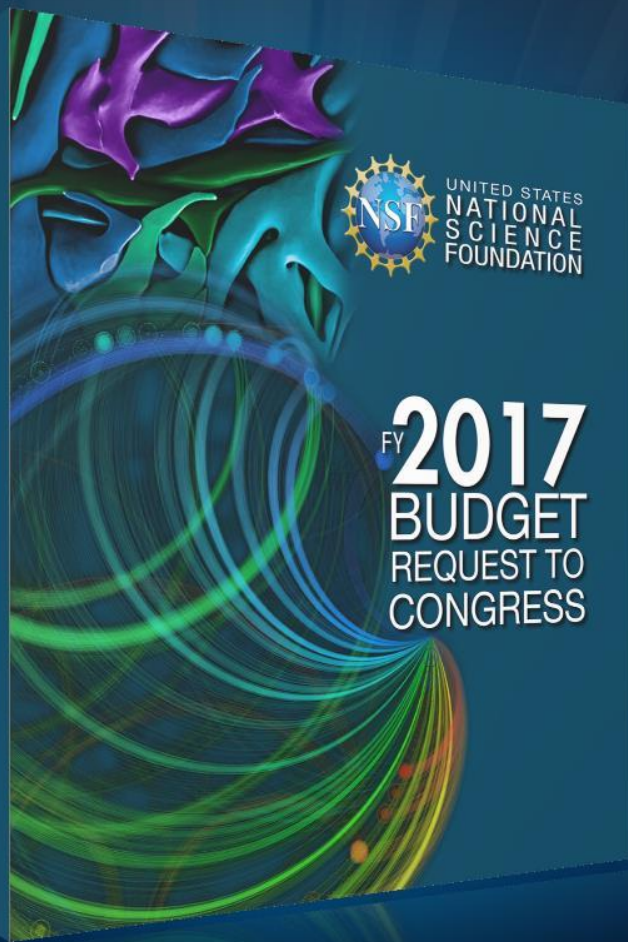
The background of the slide is an abstract composition of light rays. On the left side, there are bright green and cyan rays emanating from the bottom left corner, spreading outwards. On the right side, there are bright blue rays emanating from the bottom right corner, also spreading outwards. The center of the image is a darker blue, where the two sets of rays appear to meet or overlap. The overall effect is a sense of depth and dynamic energy, typical of a digital or scientific theme.

OVERVIEW AND FACTS ABOUT THE NATIONAL SCIENCE FOUNDATION

Basic research ... results in general knowledge and an understanding of nature and its laws. This general knowledge provides the means of answering a large number of important practical problems

- Vannevar Bush





NSF FY 2017 Budget Request

Total: \$8 billion



Total NSF Request

\$7.964 billion

- +\$501 million
- +6.7 percent over FY 2016 Enacted

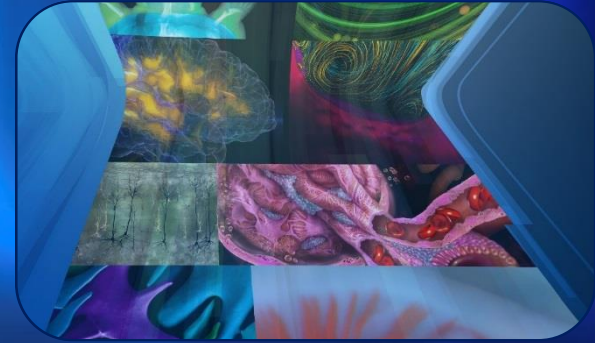
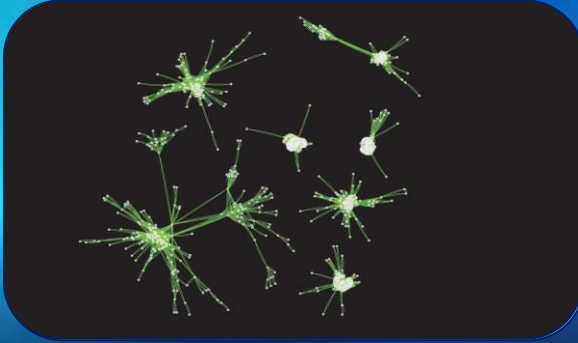
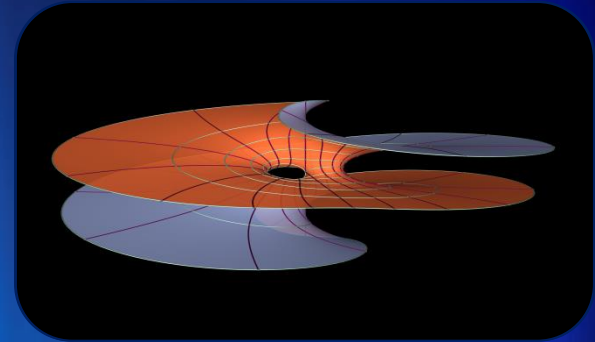
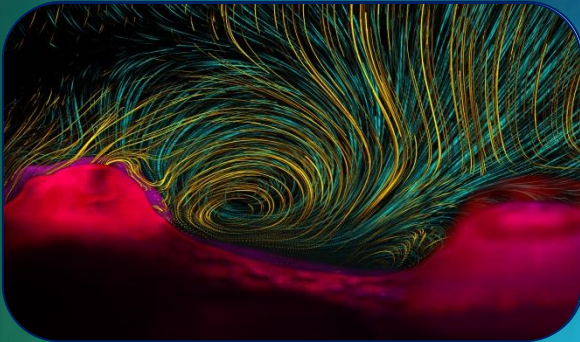
Two Funding Sources

- \$7.564 billion, discretionary funding (+1.3 percent)
- \$400 million, new one-time mandatory/direct spending authority



NSF's Organization

The NSF Directorates and Offices



Biological Sciences (BIO)



George Gilchrist

Division of Environmental Biology

Ggilchrist@nsf.gov

- **Permanent Program Officer in the Division of Environmental Biology/Evolutionary Processes Cluster**
- **Technical Coordinator for the BEACON (Bio/computational Evolution in Action CONsortium) Science and Technology Center at Michigan State University**
- **Program Officer on Dimensions of Biodiversity**

Biological Sciences (BIO)

James Olds, Assistant Director
Jane Silverthorne, Deputy Assistant Director

**Emerging Frontiers
(EF)**

**Division of
Biological Infrastructure
(DBI)**

Muriel Poston, Division Director
James Deshler, Deputy Division Director

**Division of Molecular and Cellular
Biosciences
(MCB)**

Linda Hyman, Division Director
Theresa Good, Deputy Division Director

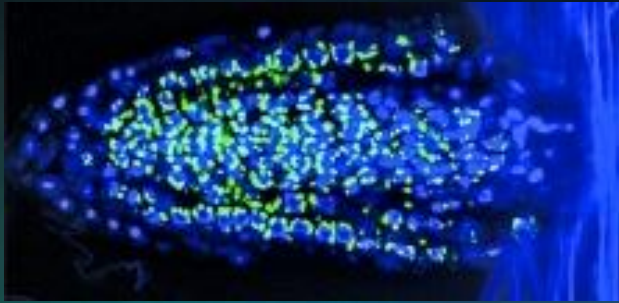
**Division of
Environmental Biology
(DEB)**

Paula Mabee, Acting Division Director
Alan Tessier, Deputy Division Director

**Division of Integrative Organismal
Systems
(IOS)**

Heinz Gert de Couet, Division Director
Rob Miller, Deputy Division Director

Biological Sciences (BIO)



Priorities

Investigator-driven projects in all areas of
Biological Research

Brain Research through Advancing
Innovative Neurotechnologies (BRAIN)

National Ecological Observatory Network
(NEON)

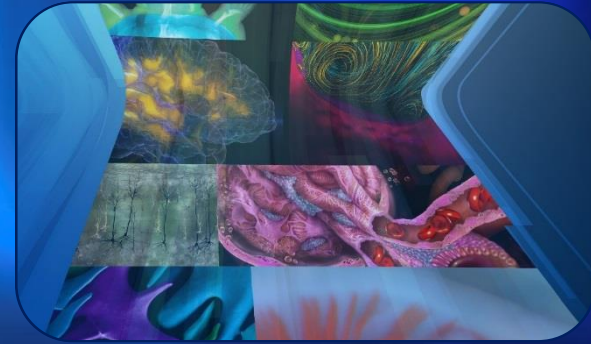
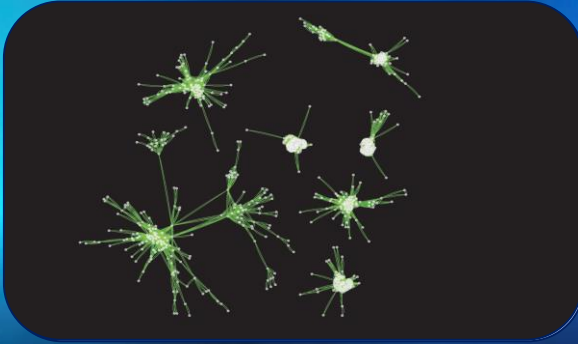
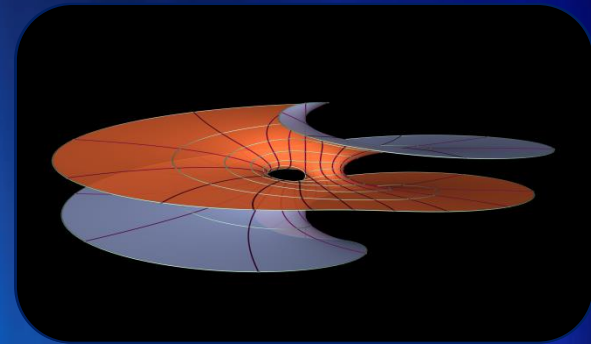
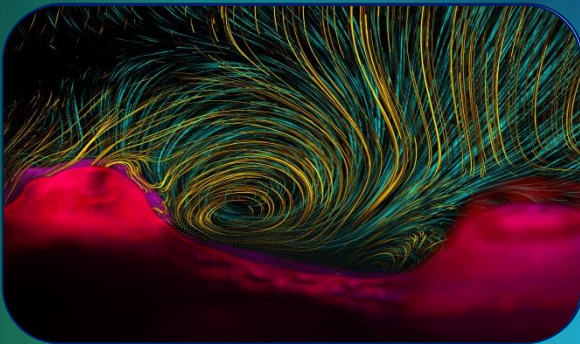
Plant Genome Research Program (PGRP)
Dimensions of Biodiversity

Projects at interface of biology,
mathematics, and engineering (BIOMAPS)

NEW: Enabling Discovery through Genomic
Tools (EDGE)

Crosscutting: Innovations at the Nexus of
Food , Energy, and Water Systems (INFEWS)

The NSF Directorates and Offices



Social, Behavioral, & Economic Science (SBE)

Laura L. Namy

Division of Developmental and
Learning Sciences

lnamy@nsf.gov



NSF Representative, White House
Social & Behavioral Sciences Team

Faculty member at Emory University

- Professor in Psychology
- Core Faculty in Linguistics
- Associate Director, Center for
Mind, Brain and Culture



Social, Behavioral and Economic Sciences

**SBE Office of
Multidisciplinary
Activities (SMA)**

Fay Lomax Cook, Assistant Director
Kellina Craig-Henderson, Deputy
Assistant Director

Science of Learning
Science of Science and innovation
Policy
Interdisciplinary Behavioral and
Social Sciences
Resource Implementation for Data
Intensive Research in SBE

Behavioral and Cognitive Sciences (BCS)

Howard Nusbaum, Division Director
Amber Story, Deputy Division Director

Archeology and Archaeometry
Biological Anthropology
Cultural Anthropology
Geography and Spatial Sciences
Cognitive Neuroscience
Developmental and Learning Sciences
Documenting Endangered Languages
Linguistics
Perception, Action and Cognition
Social Psychology

Social and Economic Sciences (SES)

Alan Tomkins, Acting Division Director
Kay Meyer, Acting Deputy Division Director

Decision Risk and Management Sciences
Economics
Law and Social Sciences
Methodology, Measurement, and Statistics
Political Science
Science of Organizations
Science, Technology, and Society
Secure and Trustworthy Cyberspace
Sociology

National Center for Science and Engineering Statistics (NCSES)

John Gawalt, Division Director
Jeri Mulrow, Deputy Division Director



SBE-Related Cross-Directorate Initiatives

Science of Broadening Participation & INCLUDES
Understanding the Brain

Forensic Sciences

Big Data

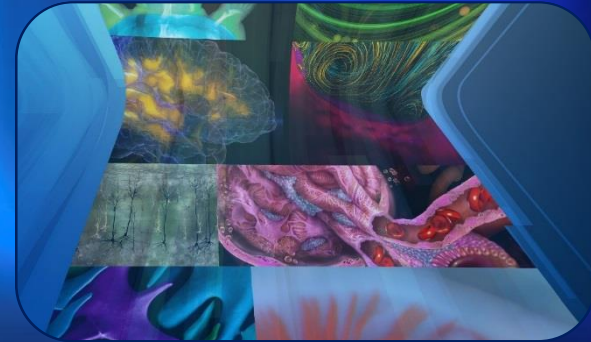
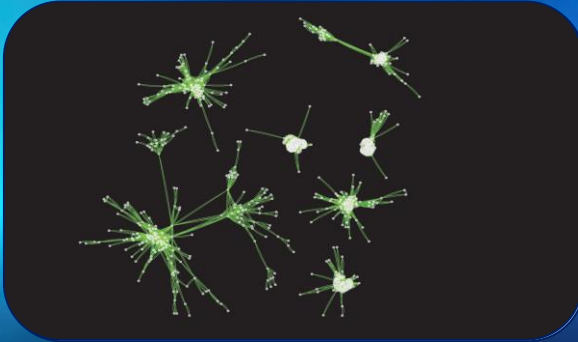
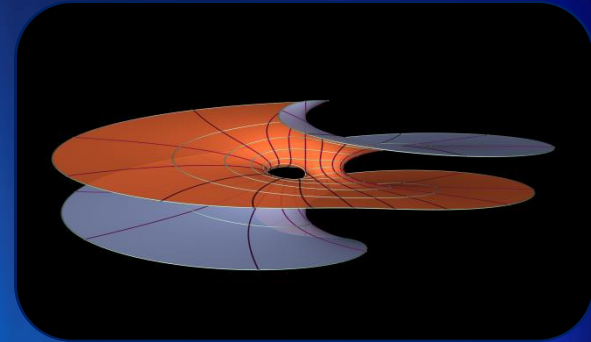
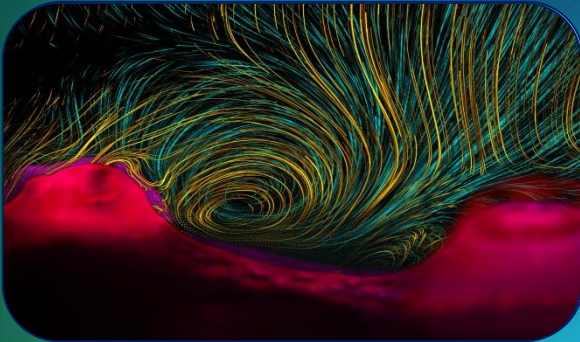
Coupled Natural and Human Systems

Interdependent Infrastructure Systems and Processes

Food, Energy, and Water Systems



The NSF Directorates and Offices



Budget, Finance & Award Management (BFA)



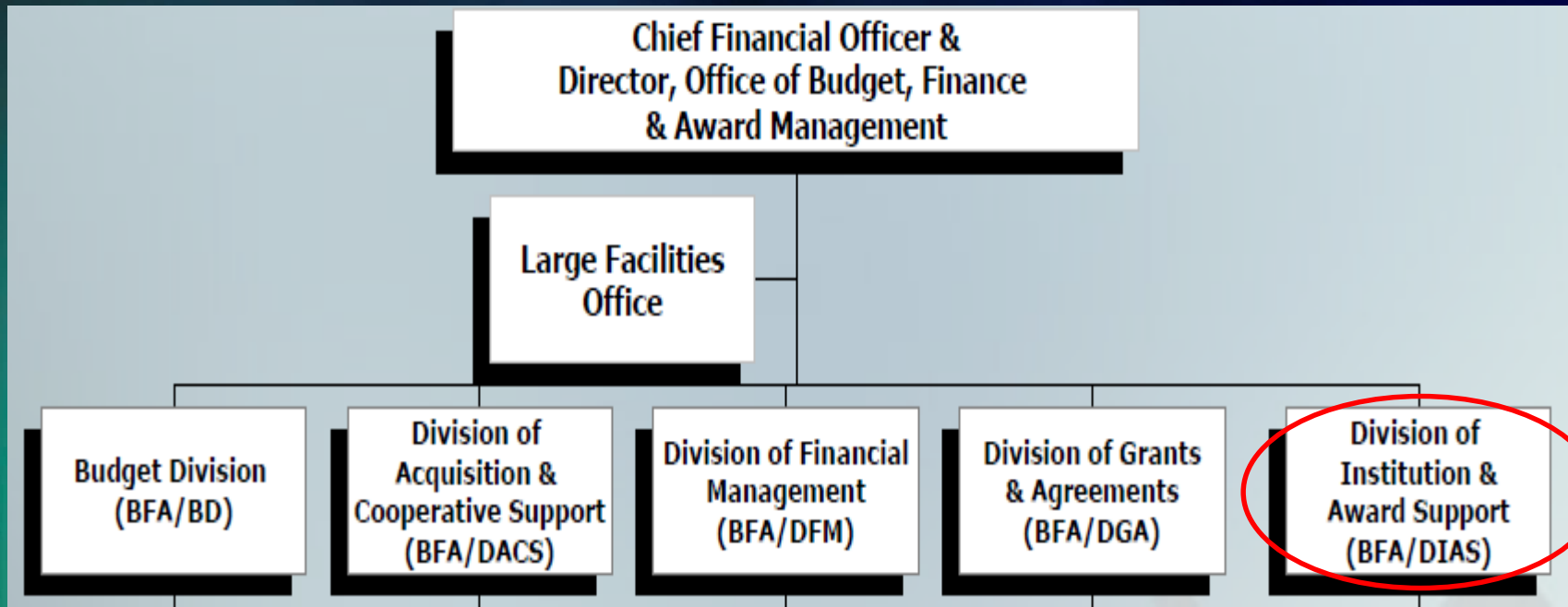
Jeremy Leffler

Policy Office, Division of Institution & Award
Support

jleffler@nsf.gov

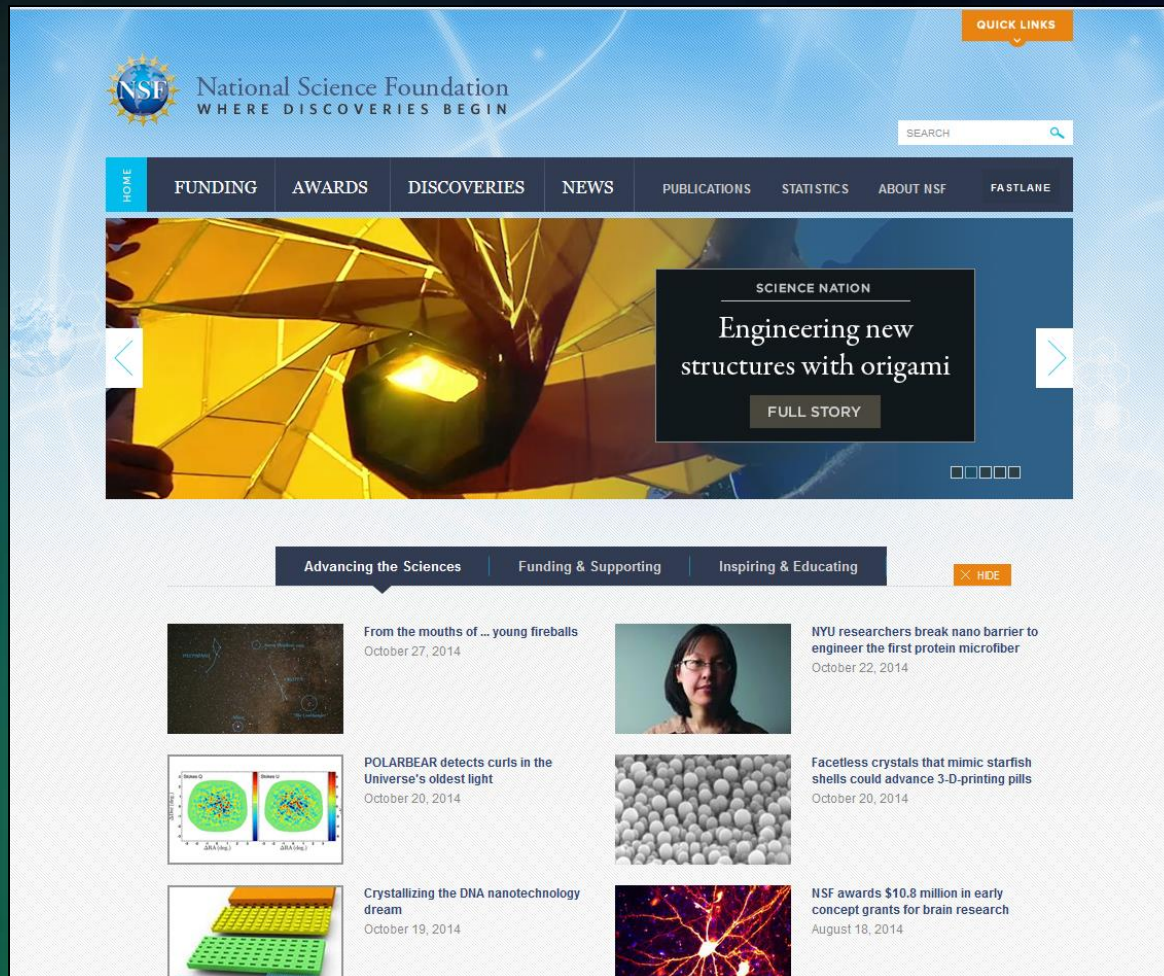
- **Serves as outreach specialist for proposal & award policy**
- **Communicates policies and procedures to the research community and NSF staff**
- **Organizes bi-annual NSF Grants Conference**
- **Plans S & E research and education programs for institutions that are historically underserved in the federal arena.**

Budget, Finance & Award Management (BFA)





Getting Started The Essentials



The screenshot shows the NSF.gov homepage with a blue header featuring the NSF logo and the tagline "WHERE DISCOVERIES BEGIN". A navigation bar includes links for HOME, FUNDING, AWARDS, DISCOVERIES, NEWS, PUBLICATIONS, STATISTICS, ABOUT NSF, and FASTLANE. A search bar is located on the right. The main content area features a large banner for "SCIENCE NATION" titled "Engineering new structures with origami" with a "FULL STORY" button. Below the banner are three tabs: "Advancing the Sciences", "Funding & Supporting", and "Inspiring & Educating". The "Advancing the Sciences" tab is active, displaying a grid of six article thumbnails with titles and dates.

NSF National Science Foundation
WHERE DISCOVERIES BEGIN

SEARCH

HOME FUNDING AWARDS DISCOVERIES NEWS PUBLICATIONS STATISTICS ABOUT NSF FASTLANE

SCIENCE NATION
Engineering new structures with origami
FULL STORY

Advancing the Sciences Funding & Supporting Inspiring & Educating

From the mouths of ... young fireballs
October 27, 2014

POLARBEAR detects curls in the Universe's oldest light
October 20, 2014

Crystallizing the DNA nanotechnology dream
October 19, 2014

NYU researchers break nano barrier to engineer the first protein microfiber
October 22, 2014

Facetless crystals that mimic starfish shells could advance 3-D-printing pills
October 20, 2014

NSF awards \$10.8 million in early concept grants for brain research
August 18, 2014



The screenshot shows the right sidebar of the NSF.gov website. It includes a "FOLLOW" button, a "FOLLOW US" section with social media icons for email, Facebook, Twitter, LinkedIn, YouTube, RSS, and Tumblr, and a link to "See all NSF social media". Below this is a "SPECIAL NOTICES" section and a blue "FUNDING OPPORTUNITIES" button.

ever still

NSF awards \$10.8 million in early concept grants for brain research
August 18, 2014

FOLLOW

FOLLOW US

See all NSF social media

SPECIAL NOTICES

FUNDING OPPORTUNITIES

Navigating www.NSF.gov

The screenshot shows the NSF.gov homepage. A red circle highlights the 'FUNDING' menu on the left. The menu options are:

- Search Funding Opportunities
- Browse Opportunities A-Z
- Recent Opportunities
- Due Dates
- Preparing Proposals
- Policies & Procedures
- Merit Review
- Interdisciplinary Research
- Transformative Research
- About Funding

The main navigation bar includes: HOME, FUNDING, AWARDS, DISCOVERIES, NEWS, PUBLICATIONS, STATISTICS, ABOUT NSF, and FASTLANE. A search bar is located in the top right corner.

The main banner features the title 'Understanding Bacterial Crowdsourcing' with a 'FULL STORY' button. Below the banner is a navigation bar with 'Advancing the Sciences', 'Funding & Supporting', and 'Inspiring & Educating', followed by a 'HIDE' button.

The content area displays several research highlights:

- VIMS Researchers Unravel Life Cycle of Blue-crab Parasite** (October 4, 2012)
- Home-based Assessment Tool for Dementia Screening** (October 2, 2012)
- A Mammal Lung, In 3-D** (October 2, 2012)
- URI Scientists: Marine Plants Can Flee to Avoid Predators** (October 1, 2012)
- White Shark Diets Vary With Age and**
- Disappearing Act**

Navigating www.NSF.gov

The screenshot displays the NSF.gov website. At the top left is the NSF logo with the text "National Science Foundation WHERE DISCOVERIES BEGIN". To the right is a "QUICK LINKS" dropdown menu. Below this is a search bar with the text "SEARCH" and a magnifying glass icon. A red circle highlights this search bar, and a red arrow points to it from the right. Below the search bar is a navigation bar with links: HOME, FUNDING, AWARDS, DISCOVERIES, NEWS, PUBLICATIONS, STATISTICS, ABOUT NSF, and FASTLANE. Below the navigation bar is a sub-navigation bar with links: Simple Search, Advanced Search, Popular Searches, Download Awards, Send Comments, and Award Search Help. The main content area is titled "Awards Simple Search". Below this title is a "NEW" badge and a link "See What's New in the New Award Search". Below the link is a search bar with the text "Search award for:" and a "Search" button with a green arrow. A red circle highlights this search area, and a red arrow points to it from the left. Below the search bar is a note: "Use double quotes for exact search. For example 'water vapor'." Below the note are two checkboxes: "Active Awards" (checked) and "Expired Awards" (unchecked). At the bottom of the page is a footer with links: Research.gov, USA.gov, National Science Board, Recovery Act, Budget and Performance, A Web Policies and Important Links, Privacy, FOIA, NO FEAR Act, Inspector General, and Webmas. The NSF logo is also present in the footer.

NSF National Science Foundation
WHERE DISCOVERIES BEGIN

QUICK LINKS

SEARCH

HOME FUNDING AWARDS DISCOVERIES NEWS PUBLICATIONS STATISTICS ABOUT NSF FASTLANE

Simple Search Advanced Search Popular Searches Download Awards Send Comments Award Search Help

Awards Simple Search

NEW See What's New in the New Award Search

Search award for: Search

Use double quotes for exact search. For example "water vapor".

☒ Active Awards ☐ Expired Awards

FUNDING AWARDS DISCOVERIES NEWS PUBLICATIONS STATISTICS ABOUT NSF FASTLANE

Research.gov | USA.gov | National Science Board | Recovery Act | Budget and Performance | A
Web Policies and Important Links | Privacy | FOIA | NO FEAR Act | Inspector General | Webmas

NSF

Navigating www.NSF.gov

HOME FUNDING AWARDS DISCOVERIES NEWS PUBLICATIONS STATISTICS ABOUT NSF FASTLANE

Simple Search | Advanced Search | Popular Searches | Download Awards | Send Comments | Award Search Help

Awards Advanced Search

NEW [See What's New in the New Award Search](#)

Awardee Information

Principal Investigator First Name

Principal Investigator Last Name

☐ **Include Co-Principal Investigator in name search**

Organization

State

Zip Code

Country

Program Information

NSF Organization

Element Code

☐ **Any** ☒ **All**

Reference Code

☐ **Any** ☒ **All**

HINT: The "Program" box searches both program element and program reference names and codes.

Program

Program Officer

Additional Information

Keyword

HINT: The Keyword field searches on the title and abstract only.

☐ **Search Award Title Only**

Award Number

From **To**

Award Amount

Award Instrument

HINT: Data prior to 1976 may be less complete.

☒ **Active Awards** ☐ **Expired Awards**

Original Award Date **From** **To**

Start Date **From** **To**

Expiration Date **From** **To**

Additional Information on Resources

Join Directorate
Specific Listserves!

Use Grants.gov's
search feature

The screenshot shows the Grants.gov homepage. At the top, there's a navigation bar with links: CONTACT US, MANAGE SUBSCRIPTIONS, REGISTER, LOGIN. Below this is a search bar with a dropdown menu set to 'Grant Opportunities' and a 'GO' button. The main navigation bar includes links: HOME, ABOUT, SEARCH GRANTS, APPLICANTS, GRANTORS, SYSTEM-TO-SYSTEM, FORMS, OUTREACH, SUPPORT.

Find Grants
SEARCH Grants.gov for your federal grants by keywords or more specific criteria. All discretionary grants offered by the 26 federal grant-making agencies can be found on Grants.gov. You do not have to register with Grants.gov to find grant opportunities.
[Search Grant Opportunities »](#)

Find Open Grant Opportunities
NEWEST OPPORTUNITIES | [BROWSE CATEGORIES](#) | [BROWSE AGENCIES](#) | [BROWSE ELIGIBILITIES](#) | [View More »](#)

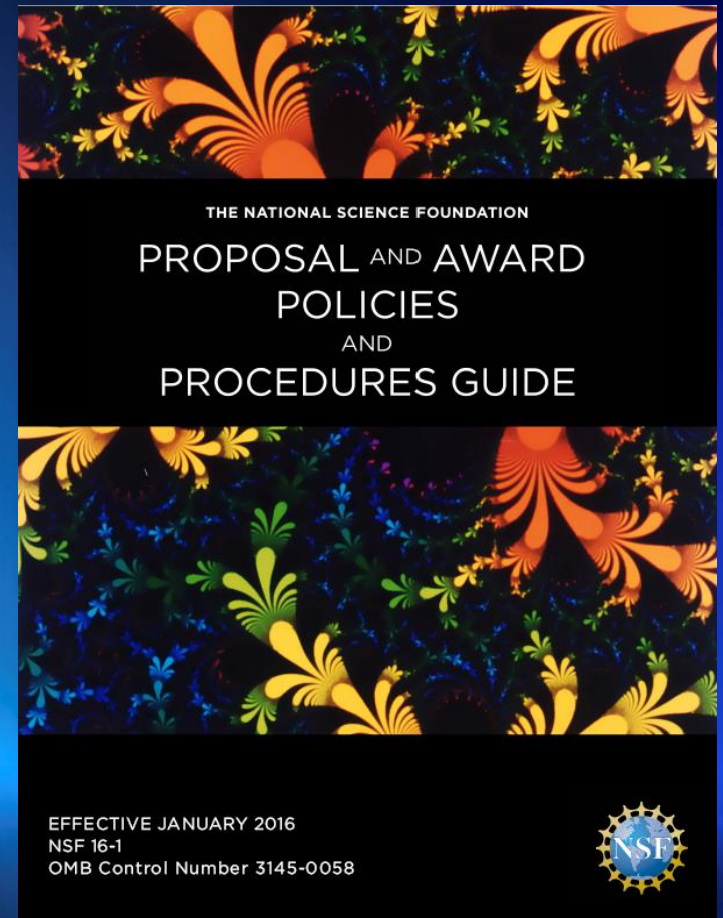
Funding Opportunity Number	Opportunity Title	Agency
RFA-263-14-000001	Local Scholarship Program	Egypt USAID-Cairo
NNH14ZDA001N-RST	ROSES 2014: Remote Sensing Theory for Earth Science	NASA Headquarters
CDC-RFA-DP14-1419PPHF14	PPHF 2014: Racial and Ethnic Approaches to Community Health (REACH) - financed in part by Prevention and Public Health Funding	Chronic Disease Prevention and Health Promotion
HHS-2014-ACL-CDAP-SO-0089	State Health Insurance Assistance Program Performance Improvement and Innovation Grant	Administration for Community Living
DARPA-BAA-14-46	DSO Office-Wide	DARPA - Defense Sciences

Grants.gov Updates:
 Grants.gov Scheduled Maintenance Outage: June 21-23, 2014.
For more information on scheduled maintenance outages and status updates, please visit the following:
[Grants.gov Calendar »](#)
[Grants.gov Blog »](#)

Did You Know?
 Did you know new features were recently added? For a full description of the new enhancements covered in the Applicant Release Notes, click [here »](#)
 Did you know that Grants.gov must receive verification of registration from SAM electronically before AORs can submit applications on Grants.gov? Please allow 24-48 hours from the date of the SAM email notification to complete the electronic process. To quickly and easily verify Grants.gov AOR status, click [here »](#)

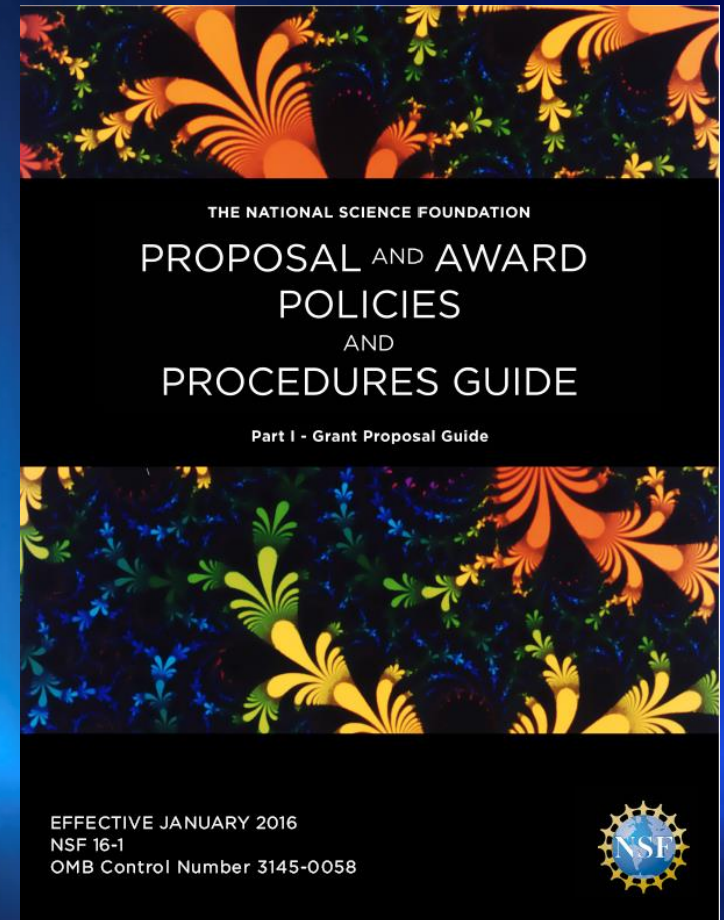
What is the Proposal & Award Policies & Procedures Guide?

The Proposal and Award Policies and Procedures Guide (PAPPG) contains documents relating to NSF's proposal and award process. It has been designed for use by both our customer community and NSF staff and consists of two parts:



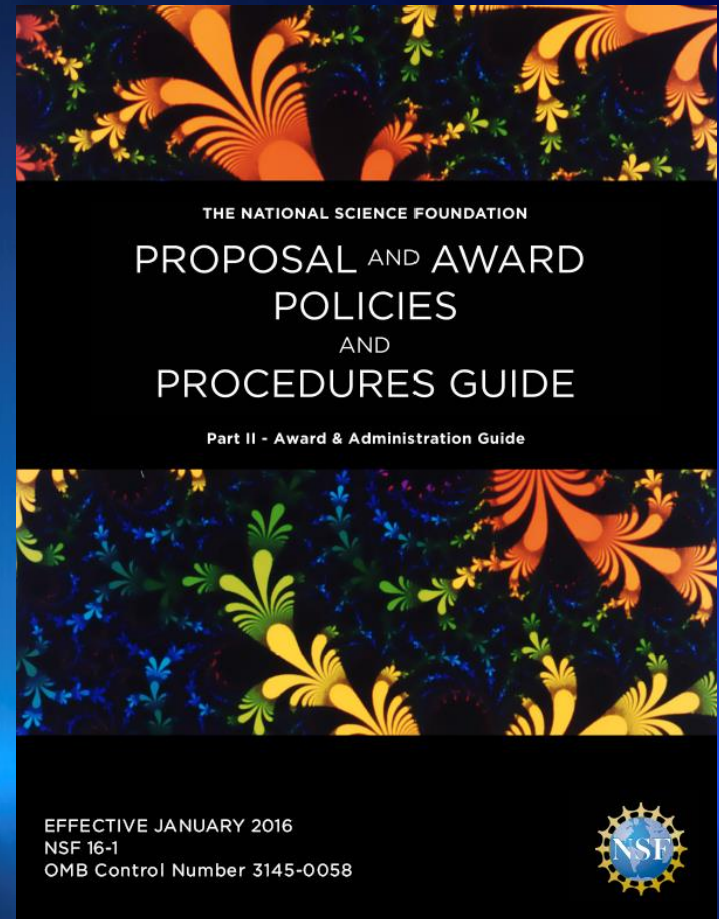
What is the Proposal & Award Policies & Procedures Guide?

Part I is NSF's proposal preparation and submission guidelines -- the NSF Grant Proposal Guide (GPG) and the NSF Grants.gov Application Guide.



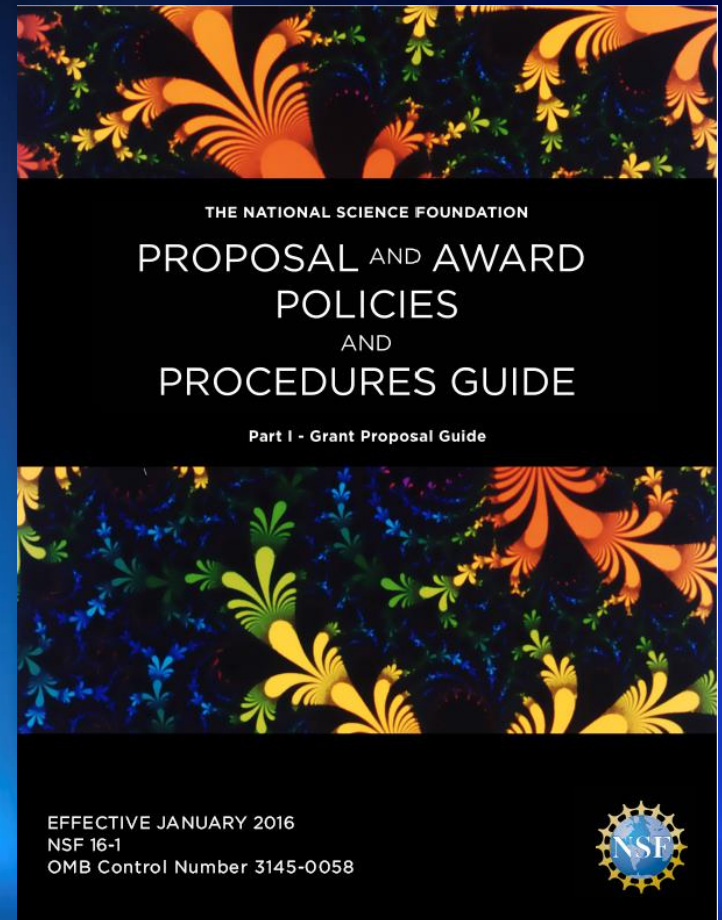
What is the Proposal & Award Policies & Procedures Guide?

Part II is NSF's award and administration guidelines -- the documents used to guide, manage, and monitor the award and administration of grants and cooperative agreements made by NSF.



Grant Proposal Guide

- Provides guidance for preparation and submission of proposals to NSF
- Describes process – and criteria – by which proposals will be reviewed
- Outlines reasons why a proposal may not be accepted or may be returned without review
- Describes process for withdrawals, returns, and declinations
- Describes the NSF Reconsideration Process



Types of Funding Opportunities

Program Descriptions

Proposals for a **Program Description** must follow the instructions in the GPG.

Program Announcements

Proposals for a **Program Announcement** must follow the instructions in the GPG.

Program Solicitations

Proposals must follow the instructions in the **Program Solicitation**; the instructions in the GPG apply unless otherwise stated in the solicitation.

Dear Colleague Letters

Dear Colleague Letters are notifications of opportunities or special competitions for supplements to existing NSF awards.

Navigating a Program Description

Division of Mathematical Sciences

Algebra and Number Theory

CONTACTS

Name	Email	Phone	Room
Tie Luo	tluo@nsf.gov	(703) 292-8448	1025 N
J. Matthew Douglass	mdouglas@nsf.gov	(703) 292-2467	1025 N
Andrew Pollington	adpollin@nsf.gov	(703) 292-4878	1025 N
Victoria Powers	vpowers@nsf.gov	(703) 292-2113	1025 N

PROGRAM GUIDELINES

Apply to PD 10-1264 as follows:

For full proposals submitted via FastLane: standard [Grant Proposal Guide](#) proposal preparation guidelines apply.
For full proposals submitted via Grants.gov: the *NSF Grants.gov Application Guide; A Guide for the Preparation and Submission of NSF Applications via Grants.gov Guidelines* applies. (Note: The *NSF Grants.gov Application Guide* is available on the Grants.gov website and on the NSF website at: http://www.nsf.gov/publications/pub_summ.jsp?ods_key=grantsgovguide)

Important Information for Proposers

A revised version of the *NSF Proposal & Award Policies & Procedures Guide* (PAPPG) (NSF 15-1), is effective for proposals submitted, or due, on or after December 26, 2014. The PAPPG is consistent with, and, implements the new Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (Uniform Guidance) (2 CFR § 200). Please be advised that the guidelines contained in NSF 15-1 apply to proposals submitted in response to this funding opportunity.

DUE DATES

Full Proposal Target Date: October 9, 2015
Second Friday of October
Second Friday in October, Annually Thereafter

Research proposals (as opposed to conference proposals) are expected to be submitted by the target date. An extension may be granted under unusual extenuating circumstances, provided that approval is obtained from the cognizant Program Director prior to the target date.

SYNOPSIS

The Algebra and Number Theory program supports research in algebra, algebraic and arithmetic geometry, number theory, and representation theory.

Conferences

Principal Investigators should carefully read the program solicitation "Conferences and Workshops in the Mathematical Sciences" (link below) to obtain important information regarding the substance of proposals for conferences, workshops, summer/winter schools, and similar activities.

For conference proposals with budgets not exceeding \$50,000, which in accordance with NSF policy can be reviewed internally at NSF, the following target dates are in effect: For an event that will take place at some time prior to October 1 during a given year, the proposal should be submitted in October of the previous year. For an event that will occur in the period October 1 through December 31 of a given year, the proposal should be submitted in May of that year. A conference proposal with a budget request exceeding \$50,000 should be submitted roughly seven months before the event is scheduled to take place, in order to allow time for external review.

RELATED PROGRAMS

[Focused Research Groups in the Mathematical Sciences](#)
[Research Training Groups in the Mathematical Sciences](#)
[Faculty Early Career Development Program](#)
[Mathematical Sciences Postdoctoral Research Fellowships](#)
[NSF Graduate Research Fellowship Program](#)

RELATED URLS

[Conferences and Workshops in the Mathematical Sciences](#)

THIS PROGRAM IS PART OF

Disciplinary Research Programs

[What Has Been Funded \(Recent Awards Made Through This Program, with Abstracts\)](#)

[Map of Recent Awards Made Through This Program](#)

[News](#)

Navigating a Program Solicitation

Enhancing Access to the Radio Spectrum (EARS)

PROGRAM SOLICITATION

NSF 15-550

REPLACES DOCUMENT(S):

NSF 14-529



National Science Foundation

Directorate for Mathematical & Physical Sciences
Division of Astronomical Sciences

Directorate for Engineering
Division of Electrical, Communications and Cyber Systems

Directorate for Computer & Information Science & Engineering
Division of Computer and Network Systems

Full Proposal Deadline(s) (due by 5 p.m. proposer's local time):

June 02, 2015

IMPORTANT INFORMATION AND REVISION NOTES

Any proposal submitted in response to this solicitation should be submitted in accordance with the revised NSF Proposal & Award Policies & Procedures Guide (PAPPG) (NSF 15-1), which is effective for proposals submitted, or due, on or after December 26, 2014. The PAPPG is consistent with, and implements the new Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (Uniform Guidance) (2 CFR § 200).

SUMMARY OF PROGRAM REQUIREMENTS

General Information

Program Title:

Enhancing Access to the Radio Spectrum (EARS)
Opportunities for interdisciplinary research that increases the efficiency of the radio spectrum, expanding the access to wireless-enabled services for all Americans.

Synopsis of Program:

The National Science Foundation's Directorates for Mathematical and Physical Sciences (MPS), Engineering (ENG), and Computer and Information Science and Engineering (CISE) are coordinating efforts to identify bold new concepts with the potential to

Award Information

Anticipated Type of Award: Standard Grant

Estimated Number of Awards: 20 to 25

Each proposal may request up to \$750,000 in total funding over a period of up to three years.

Anticipated Funding Amount: \$15,000,000

Eligibility Information

Who May Submit Proposals:

Proposals may only be submitted by the following:

- Universities and Colleges - Universities and two- and four-year colleges (including community colleges) accredited in, and having a campus located in, the US acting on behalf of their faculty members. Such organizations also are referred to as academic institutions.
- Non-profit, non-academic organizations: Independent museums, observatories, research labs, professional societies and similar organizations in the U.S. associated with educational or research activities.

Who May Serve as PI:

There are no restrictions or limits.

Limit on Number of Proposals per Organization:

There are no restrictions or limits.

Limit on Number of Proposals per PI or Co-PI:

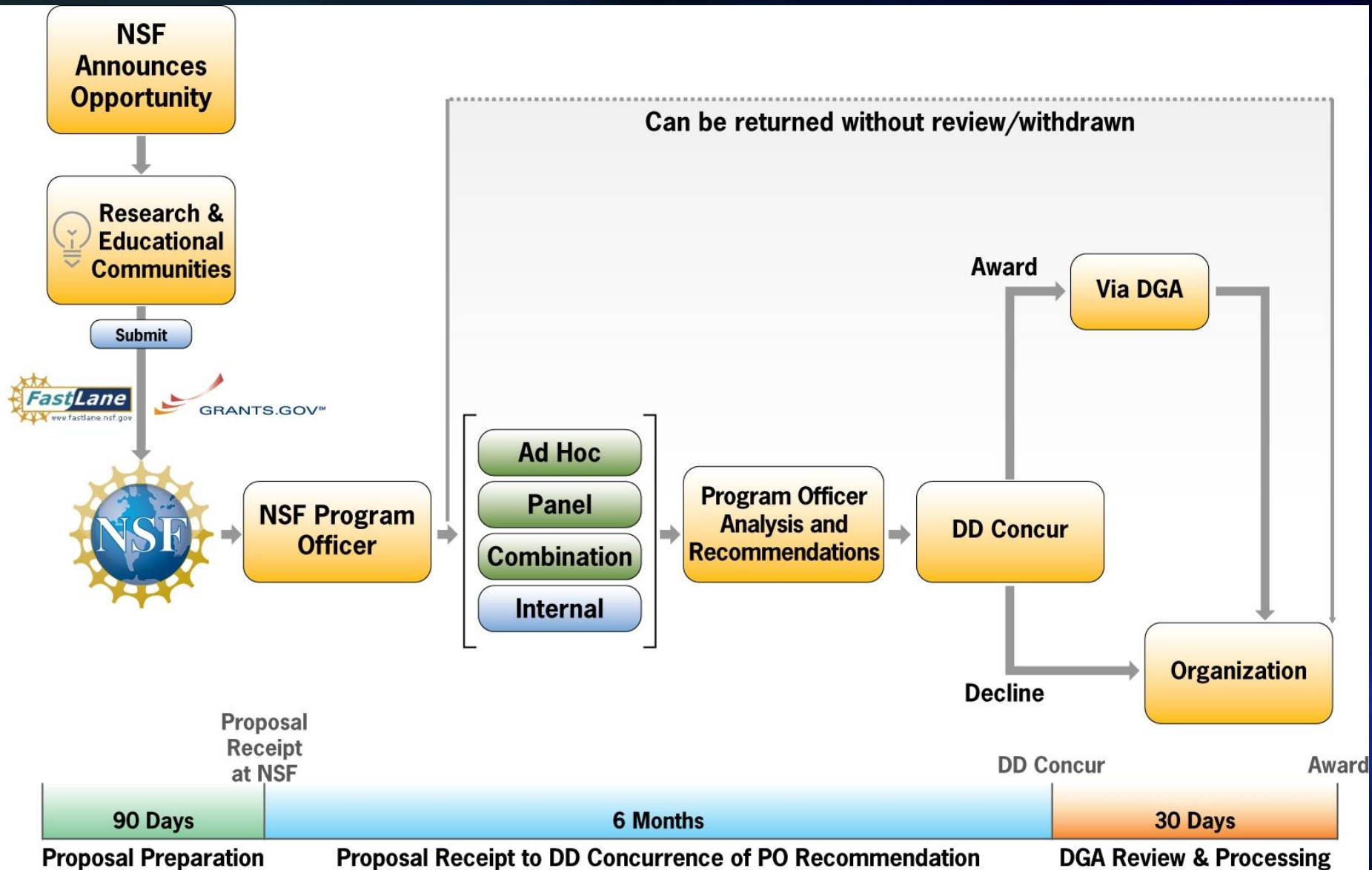
A proposer may be a Principal Investigator (PI) or co-PI on up to two proposals.

Proposal Preparation and Submission Instructions

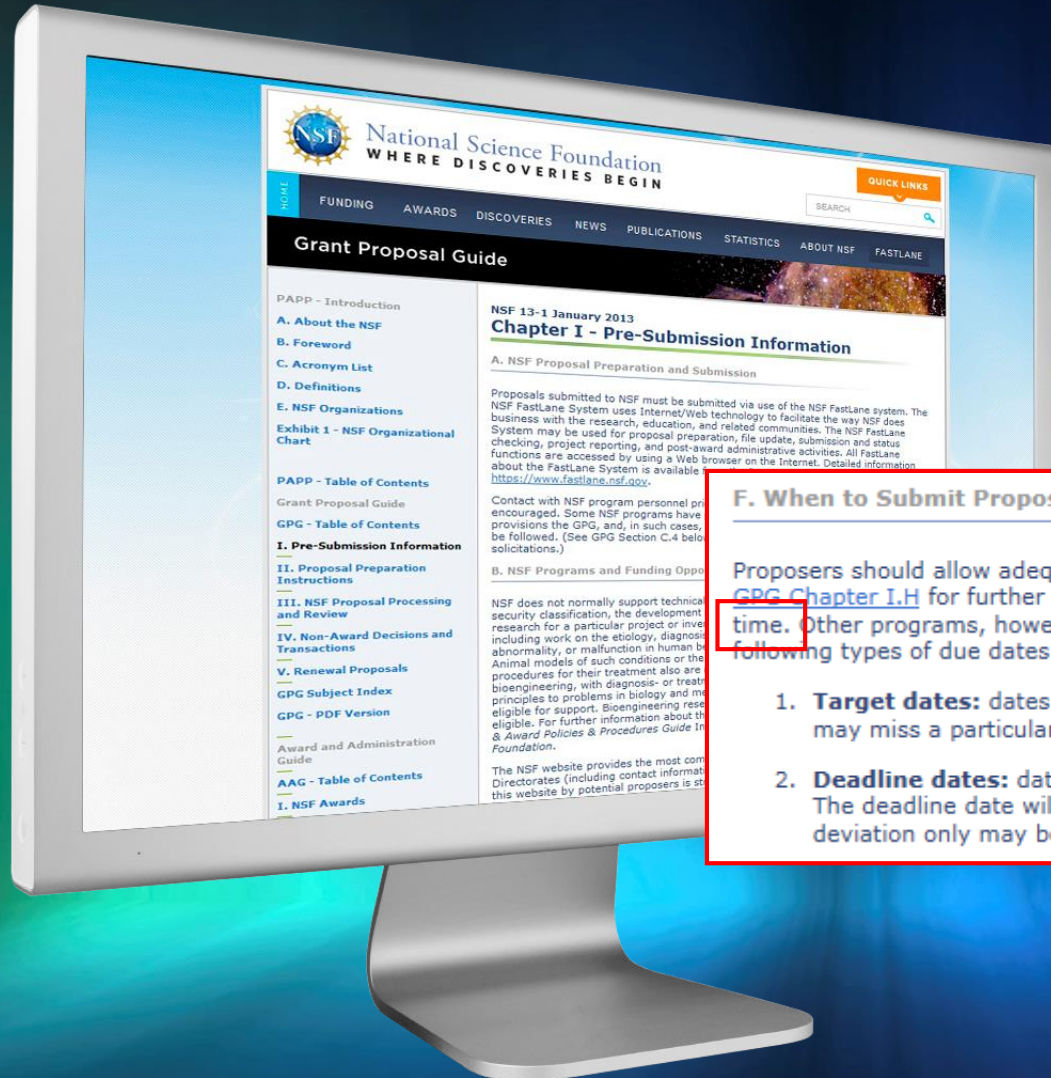
A. Proposal Preparation Instructions

- **Letters of Intent:** Not required
- **Preliminary Proposal Submission:** Not required
- **Full Proposals:**
 - Full Proposals submitted via FastLane: NSF Proposal and Award Policies and Procedures Guide, Part I: Grant Proposal Guide (GPG) Guidelines apply. The complete

NSF Proposal & Award Process Timeline



Types of Proposal Submissions



No Deadlines – Proposals may be submitted at any time

F. When to Submit Proposals

Proposers should allow adequate time for NSF review and processing of proposals (see [GPG Chapter I.H](#) for further information). Many NSF programs accept proposals at any time. Other programs, however, establish due dates for submission of proposals. The following types of due dates are utilized by NSF:

1. **Target dates:** dates after which proposals will still be accepted, although they may miss a particular panel or committee meeting.
2. **Deadline dates:** dates after which proposals be returned without review by NSF. The deadline date will be waived only in extenuating circumstances. Such a deviation only may be authorized in accordance with [GPG Chapter II.A](#).

Types of Proposal Submissions



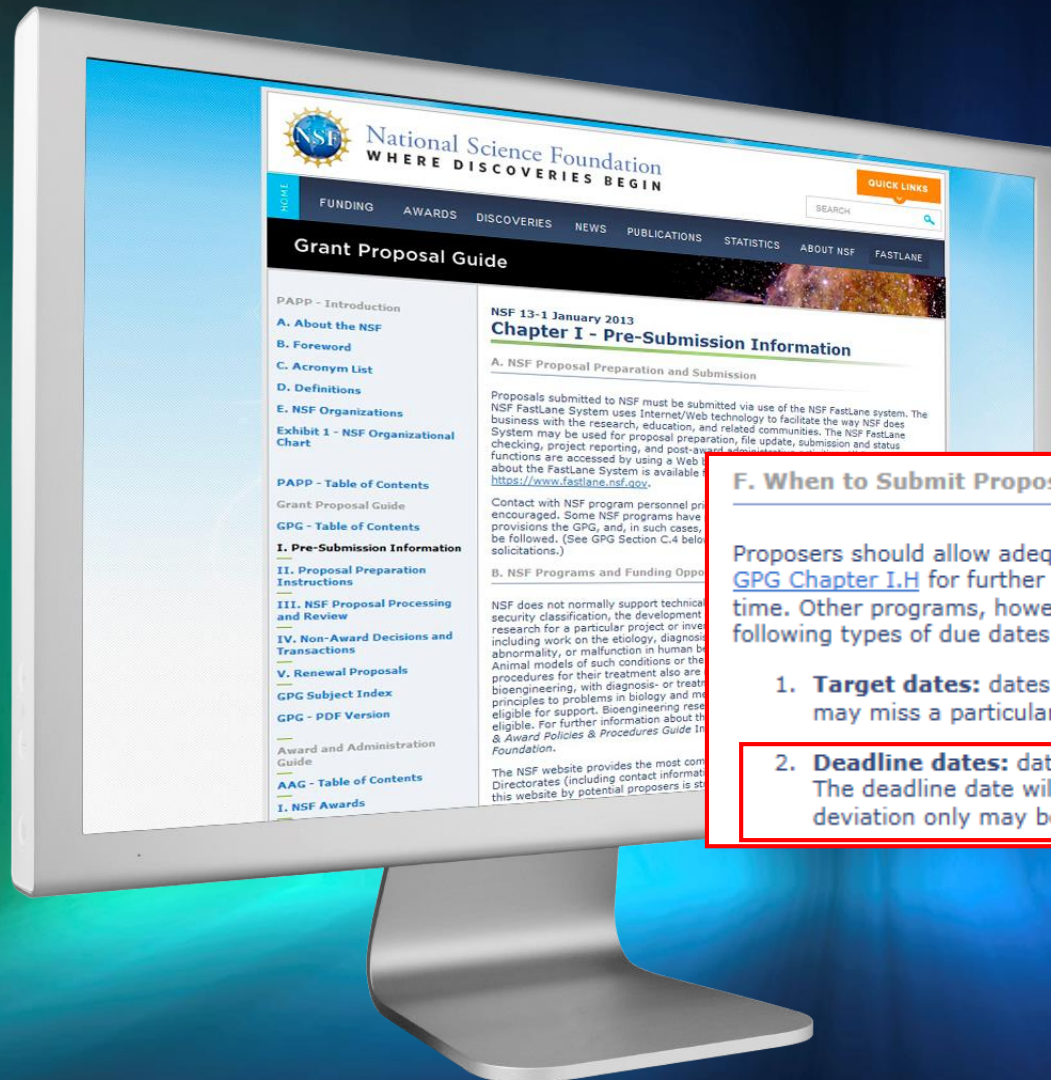
Target Dates –
Talk to the Program Office
if you think you might miss
the date

F. When to Submit Proposals

Proposers should allow adequate time for NSF review and processing of proposals (see [GPG Chapter I.H](#) for further information). Many NSF programs accept proposals at any time. Other programs, however, establish due dates for submission of proposals. The following types of due dates are utilized by NSF:

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Types of Proposal Submissions



Deadline Dates –
Proposals will not be accepted after this date and time (5 pm submitter's local time)

F. When to Submit Proposals

Proposers should allow adequate time for NSF review and processing of proposals (see [GPG Chapter I.H](#) for further information). Many NSF programs accept proposals at any time. Other programs, however, establish due dates for submission of proposals. The following types of due dates are utilized by NSF:

1. **Target dates:** dates after which proposals will still be accepted, although they may miss a particular panel or committee meeting.
2. **Deadline dates:** dates after which proposals be returned without review by NSF. The deadline date will be waived only in extenuating circumstances. Such a deviation only may be authorized in accordance with [GPG Chapter II.A](#).

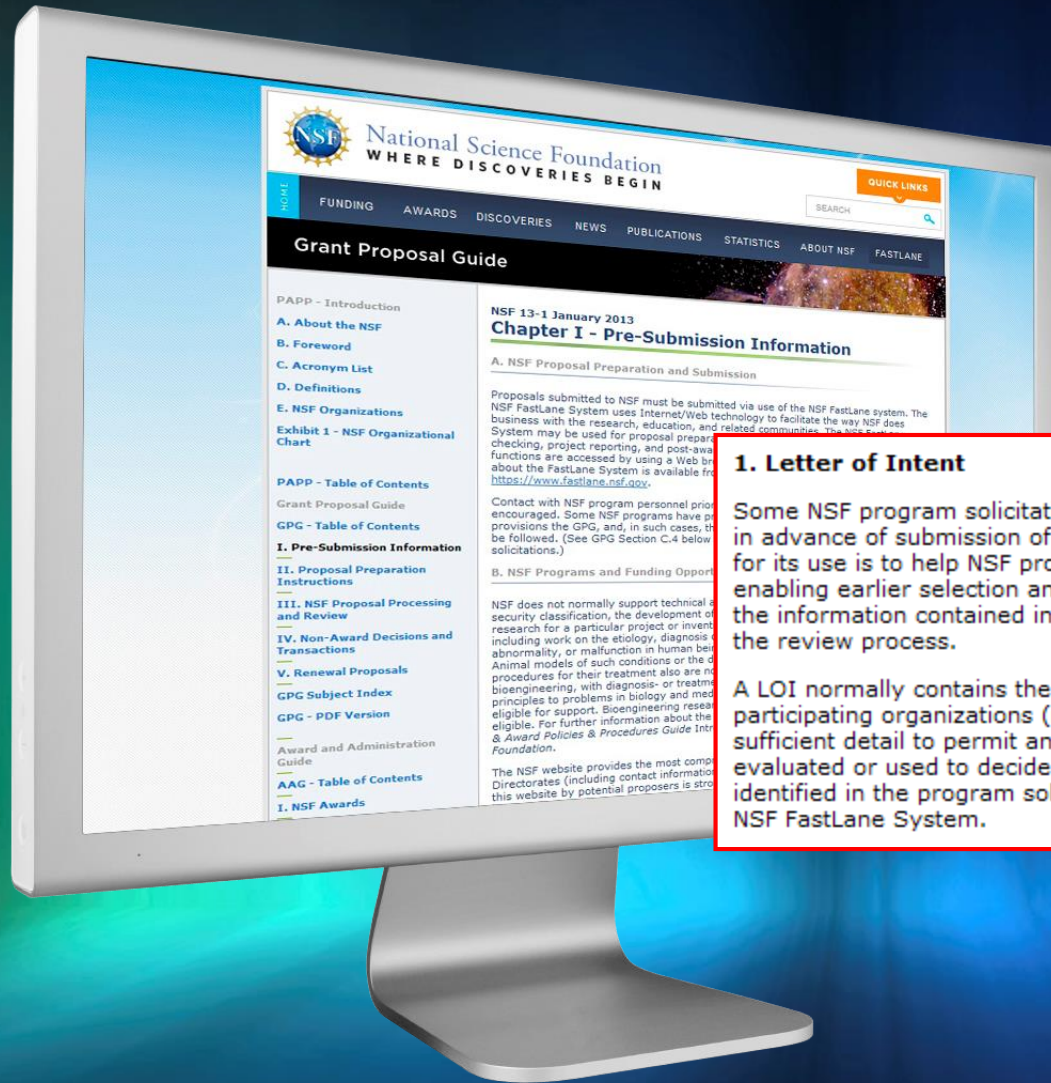
Types of Proposal Submissions



Submission Windows –
Closing date converts to a
deadline date

3. Submission windows: designated periods of time during which proposals will be accepted for review by NSF. It is NSF's policy that the end date of a submission window converts to, and is subject to, the same policies as a deadline date.

Types of Proposal Submissions



Letters of Intent –
Enables better management of reviewers and panelists

1. Letter of Intent

Some NSF program solicitations require or request submission of a letter of intent (LOI) in advance of submission of a full proposal. A LOI is not binding. The predominant reason for its use is to help NSF program staff to gauge the size and range of the competition, enabling earlier selection and better management of reviewers and panelists. In addition, the information contained in a LOI is used to help avoid potential conflicts of interest in the review process.

A LOI normally contains the PI's and co-PI's names, a proposed title, a list of possible participating organizations (if applicable), and a synopsis that describes the work in sufficient detail to permit an appropriate selection of reviewers. A LOI is not externally evaluated or used to decide on funding. The requirement to submit a LOI will be identified in the program solicitation, and such letters are submitted electronically via the NSF FastLane System.

Types of Proposal Submissions



Preliminary Proposals –
Sometimes required,
sometimes optional

2. Preliminary Proposal

Some NSF program solicitations require or request submission of a preliminary proposal in advance of submission of a full proposal. The two predominant reasons for requiring submission of a preliminary proposal are to:

- reduce the proposers' unnecessary effort in proposal preparation when the chance of success is very small. This is particularly true of exploratory initiatives where the community senses that a major new direction is being identified, or competitions that will result in a small number of actual awards; and
- increase the overall quality of the full submission.

Types of Proposals

- RAPID
- EAGER
- Research (Other than RAPID or EAGER_
- Ideas Lab
- Equipment
- Conference
- International Travel
- Fellowship
- Facility/Center

Questions on Funding Opportunities?



Contact your NSF program officer

Work with your organization's
sponsored projects office

Ask early, ask often
policy@nsf.gov





Things to Consider Before Applying...

Five Key Elements



1. Great idea
2. Fit with current research expertise and career development plans
3. Ability to devise a strategy including benchmarks, timelines, and metrics
4. Adequate resources to accomplish your project
5. Assessment Plan

Developing your Proposal

Key Questions for Prospective Investigators

- What has already been done?
- What do you intend to do?
- Why is the work important?
- How is the work unique or cutting edge?
- How are you going to do the work?
- Do you have the right team?

Proposal Development Strategies:

What Do You Need Besides \$???

- Prepare to do the project
 - Realistically assess needs
 - Determine available resources
 - Develop preliminary data
 - Present to colleagues/mentors/students
- Determine possible funding sources
(NSF may not be the right or the only one)



Proposal Development Strategies:

What details should you glean from the solicitation?



- Overall scope and mission
- Instructions (deviations from the GPG)
- How your proposed project fits with the solicitation
- Review procedures and criteria
- Deadlines

Proposal Development Strategies:

Who Should You Talk To?

NSF Program Officer

- Your proposed project
- Clarifications on specific program requirements/limitations
- Current program patterns

Your Organization's Sponsored Projects Office

- University guidelines for applications
- Institutional Review Board "IRB" Approvals
e.g. institutional Animal Care and Use Committee (IACUC) approvals



So You Want to Write a Proposal...

What to Look for in a Program Announcement or Solicitation

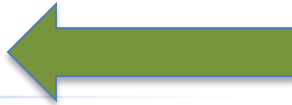
- Goals
- Eligibility Requirements
- Special proposal preparation
and/or award requirements
- Review Criteria



Sample Cover Page of a Solicitation

Louis Stokes Alliances for Minority Participation (LSAMP)

PROGRAM SOLICITATION
NSF 15-594



REPLACES DOCUMENT(S):
NSF 12-564



National Science Foundation

Directorate for Education & Human Resources
Division of Human Resource Development



Full Proposal Deadline(s) (due by 5 p.m. proposer's local time):

November 04, 2015

Bridge to the Doctorate; Pre-Alliance Planning Grants

November 20, 2015

LSAMP Alliance Proposals (including Bridge to the Baccalaureate)

October 14, 2016

Bridge to the Doctorate; Pre-Alliance Planning Grants

November 04, 2016

LSAMP Alliance Proposals (including Bridge to the Baccalaureate)

**Program
Solicitation
Number**

**NSF Directorates
and Offices
providing funding
for this
opportunity**

Solicitation — Award Information

Award Information

Anticipated Type of Award: Standard Grant or Continuing Grant

Estimated Number of Awards: 37 to 38

37 in FY2016 and 38 in FY2017; The anticipated number of new awards to be made across fiscal years 2016 and 2017 is 75. Award sizes and durations vary for the different LSAMP award types.

The estimated number of awards by type is as follows:

Alliances. 19 alliance grants in FY2016 and 18 in FY2017.

Awards for alliances will be made as Continuing Grants. The progress and plans of each alliance will be reviewed annually by NSF, prior to approving continued NSF support. Alliances that are not meeting the expectations set forth in this solicitation may have their level of funding reduced or may be terminated.

Bridge to the Doctorate. 10 BD grants in FY2016 and 10 in FY2017.

Pre-Alliance Planning Grants. 8 planning grants in FY2016 and 10 in FY2017.

Anticipated Funding Amount: \$45,600,000

Annually for new and continuing awards

Approximately \$32 million, pending availability of funds, for new awards in FY2016 to support Alliances (including Bridge to the Baccalaureate), Bridge to the Doctorate, Pre-Alliance Planning grants, and other funding opportunities.

Expected number
of awards funded
by the program
per year

Expected funds
available to the
program per year

Sample Cover Page — Eligibility

Eligibility Information

Who May Submit Proposals:

Proposals may only be submitted by the following:

- Universities and Colleges - Universities and two- and four-year colleges (including community colleges) accredited in, and having a campus located in, the US acting on behalf of their faculty members. Such organizations also are referred to as academic institutions.

Who May Serve as PI:

The Principal Investigator (PI) for **Alliances (including B2B)** should be the President, Chancellor, or Provost of the lead institution. A full justification is needed for a PI designation in variance with this requirement. Co-principal investigators (Co-PIs) from partner institutions may be designated, as appropriate, for the project. At least one of the Co-PIs must have expertise in social science or education research for proposals from alliances funded more than 10 years.

The Principal Investigator for a **Bridge to the Doctorate** activity should be on the leadership team at the lead institution and listed as one of the Co-PIs of the alliance. One or more of the listed Co-PIs must be from the host institution (site of the BD activity).

The Principal Investigator for a **Pre-Alliance Planning** grant should be the key personnel that will be responsible for organizing and implementing the planning activities.

Limit on Number of Proposals per Organization:

Alliances (including B2B): Only one proposal may be submitted by an eligible (lead) institution. Alliances may hold only one active award at a time, not including BD awards. Institutions partnering in an alliance may not be a formal partner in more than one alliance at the same time. See Section II (Program Description 1. Alliances, Special Conditions for Alliances funded more than 10 years) for an exception.

Bridge to the Doctorate (BD): Only one proposal for BD support may be submitted by an eligible lead institution of an alliance. See Section II, Program Description 2. Bridge to the Doctorate, for eligibility criteria.

Pre-Alliance Planning: Only one proposal may be submitted by an eligible institution.

Limit on Number of Proposals per PI or Co-PI:

Alliances (including B2B) and Pre-Alliance Planning: 1

Bridge to the Doctorate (BD): 1

Exception: Alliances funded more than 10 years are allowed to submit an alliance proposal as well as a BD proposal.

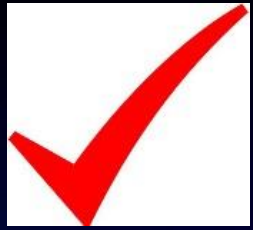
Eligibility
information for
institutions/PIs
submitting
proposals



Parts of a Proposal

NSF PROPOSAL INGREDIENTS

- ☐ Cover Page
- ☐ Project Summary (1 page)
- ☐ Table of Contents (auto-generated)
- ☐ Project Description (15 pages)
- ☐ References Cited
- ☐ Biographical Sketches (for all senior personnel)
- ☐ Budget
- ☐ Current and Pending Support
- ☐ Facilities, Equipment, and Other Resources
- ☐ Post-doctoral mentoring plan (if applicable)
- ☐ Data management plan
- ☐ Supplementary Documentation (if applicable)



Parts of an NSF Proposal

Cover Sheet

Many of the boxes on the cover sheet are electronically prefilled as part of the FastLane login process.

COVER SHEET FOR PROPOSAL TO THE NATIONAL SCIENCE FOUNDATION					
PROGRAM ANNOUNCEMENT/SOLICITATION NO./CLOSING DATE (if not in response to a program announcement/solicitation enter NSF 14-1)				FOR NSF USE ONLY	
NSF 14-1				NSF PROPOSAL NUMBER	
FOR CONSIDERATION BY NSF ORGANIZATION UNIT(S) (Indicate the most specific unit known, i.e. program, division, etc.)				1509402	
PHY - ASTROPHYSICS & COSMOLOGY THEOR					
DATE RECEIVED	NUMBER OF COPIES	DIVISION ASSIGNED	FUND CODE	DUNS# (Data Universal Numbering System)	FILE LOCATION
11/03/2014	1	03010000 PHY	1288	084184116521	11/03/2014 8:29pm
EMPLOYER IDENTIFICATION NUMBER (EIN) OR TAXPAYER IDENTIFICATION NUMBER (TIN)		SHOW PREVIOUS AWARD NO. IF THIS IS <input type="checkbox"/> A RENEWAL <input type="checkbox"/> AN ACCOMPLISHMENT-BASED RENEWAL		IS THIS PROPOSAL BEING SUBMITTED TO ANOTHER FEDERAL AGENCY? YES <input type="checkbox"/> NO <input checked="" type="checkbox"/> IF YES, LIST ACRONYM(S)	
NAME OF ORGANIZATION TO WHICH AWARD SHOULD BE MADE NSF			ADDRESS OF Awardee ORGANIZATION, INCLUDING 9 DIGIT ZIP CODE Arlington, VA 222000000 US		
AWARDEE ORGANIZATION CODE (IF KNOWN) 4102852000					
NAME OF PRIMARY PLACE OF PERF			ADDRESS OF PRIMARY PLACE OF PERF, INCLUDING 9 DIGIT ZIP CODE		
IS Awardee ORGANIZATION (Check All That Apply) (See GPG II.C For Definitions) <input type="checkbox"/> SMALL BUSINESS <input type="checkbox"/> FOR-PROFIT ORGANIZATION <input type="checkbox"/> MINORITY BUSINESS <input type="checkbox"/> WOMAN-OWNED BUSINESS <input type="checkbox"/> IF THIS IS A PRELIMINARY PROPOSAL THEN CHECK HERE					
TITLE OF PROPOSED PROJECT International Conference Cosmical Magnetic Fields					
REQUESTED AMOUNT \$ 30,000	PROPOSED DURATION (1-60 MONTHS) 0 months	REQUESTED STARTING DATE	SHOW RELATED PRELIMINARY PROPOSAL NO. IF APPLICABLE		
THIS PROPOSAL INCLUDES ANY OF THE ITEMS LISTED BELOW <input type="checkbox"/> BEGINNING INVESTIGATOR (GPG I.G.2) <input type="checkbox"/> DISCLOSURE OF LOBBYING ACTIVITIES (GPG II.C.1.e) <input type="checkbox"/> PROPRIETARY & PRIVILEGED INFORMATION (GPG I.D., II.C.1.d) <input type="checkbox"/> HISTORIC PLACES (GPG II.C.2.i) <input type="checkbox"/> VERTEBRATE ANIMALS (GPG II.D.6) IACUC App. Date _____ PHS Animal Welfare Assurance Number _____ <input checked="" type="checkbox"/> FUNDING MECHANISM Conference, Symposium, Workshop					
<input type="checkbox"/> HUMAN SUBJECTS (GPG II.D.7) Human Subjects Assurance Number _____ Exemption Subsection _____ or IRB App. Date _____ <input type="checkbox"/> INTERNATIONAL ACTIVITIES: COUNTRY/COUNTRIES INVOLVED (GPG II.C.2.i) _____ <input checked="" type="checkbox"/> COLLABORATIVE STATUS Not a collaborative proposal					
PI/PO DEPARTMENT Physics		PI/PO POSTAL ADDRESS 4201 WILSON BLVD			
PI/PO FAX NUMBER		ARLINGTON, VA 222300000 United States			
NAMES (TYPED)	High Degree	Yr of Degree	Telephone Number	Email Address	
PI/PO NAME Terry Demo	DSc	1999	703-292-9000	td@nsf.gov	
CO-PI/PO					
CO-PI/PO					
CO-PI/PO					
CO-PI/PO					

Parts of an NSF Proposal

Project Summary Requirements:

Overview

Statement on Intellectual Merit

Statement of Broader Impacts

Special characters (e.g., formulas) may be uploaded as a PDF

Project Description Addresses:

What you want to do

Why you want to do it

How you plan to do it

How you measure success

What are the benefits

Results from prior NSF support



Parts of an NSF Proposal

A separate section,
Broader Impacts of the Proposal Work,
must be completed



Budgetary Guidelines

Amounts should be:

- Realistic and reasonable
- Well-justified and should establish need
- Consistent w/program guidelines in solicitation, GPG, and in Award and Administration Guide (AAG)

Eligible costs consist of:

- Personnel
- Equipment
- Travel
- Participant support
- Other (e.g., subawards, consultant and computer services, publications costs)
- Indirect costs (as appropriate)



NSF Cost Sharing Policy

Inclusion of *voluntary committed* cost sharing is prohibited in the budget of solicited & unsolicited proposals.

Organizations may, at their own discretion, continue to contribute voluntary uncommitted cost sharing to NSF-sponsored projects as part of the section for Facilities, Equipment, and Other Resources.



Sections of an NSF Proposal

Facilities, Equipment, and Other Resources

Used to assess the adequacy of the organizational resources available to perform the effort proposed. Should not contain quantifiable financial information.

Current and Pending Support

This section of the proposal requires reporting on all current and pending support for ongoing projects and proposals from any funding source.



Special Information and Supplementary Documentation

Letters of support versus letters of commitment

Postdoctoral mentoring plans

Data management plans

You should alert NSF officials to unusual circumstances that require special handling (i.e. proprietary information)

Solicitations may specify what is and is not allowed to be submitted



Mentoring for Postdoctoral Researchers

- Explicit description of the mentoring activities
- Must include a mentoring plan as a supplementary document (maximum one-page)
- For collaborative proposals, lead organization must submit a single mentoring plan for all postdoctoral researchers supported under the entire project.



Data Management Plan Requirements

Requirements by Directorate, Office, Division, Program, or other NSF Unit

Links to data management requirements and plans relevant to specific Directorates, Offices, Divisions, Programs, or other NSF units, are provided below. If guidance specific to the program is not provided, then the requirements established in [Grant Proposal Guide, Chapter II.C.2.i](#) apply.

Please note that if a specific program solicitation provides guidance on preparation of data management plans, such guidance must be followed.

- Engineering Directorate (ENG)
 - [Directorate-wide Guidance](#)
- Geological Sciences Directorate (GEO)
 - [Division of Earth Sciences](#)
 - [Integrated Ocean Drilling Program](#)
 - [Division of Ocean Sciences](#)
- Mathematical and Physical Sciences Directorate (MPS)
 - [Division of Astronomical Sciences](#)
 - [Division of Chemistry](#)
 - [Division of Materials Research](#)
 - [Division of Mathematical Sciences](#)
 - [Division of Physics](#)
- Social, Behavioral and Economic Sciences Directorate (SBE)
 - [Directorate-wide Guidance](#)

[Data Management & Sharing Frequently Asked Questions \(FAQs\)](#) - updated November 30, 2010

**Requirements
may vary by
Directorate or
Office**

nsf.gov/bfa/dias/policy/dmp.jsp

Questions?





THE END

We'll see you tomorrow. . .

Welcome to Virtual NSF Day!



Tuesday
February 23, 2016





OVERVIEW AND FACTS ABOUT
THE NATIONAL SCIENCE
FOUNDATION

\$8B FY 2017
budget request

93% funds research,
education and
related activities

 **50,000**
proposals



12,000
awards funded



2,000
NSF-funded
institutions



350,000
NSF-supported
researchers



Fund research in
all S&E
disciplines



Fund STEM
education &
workforce



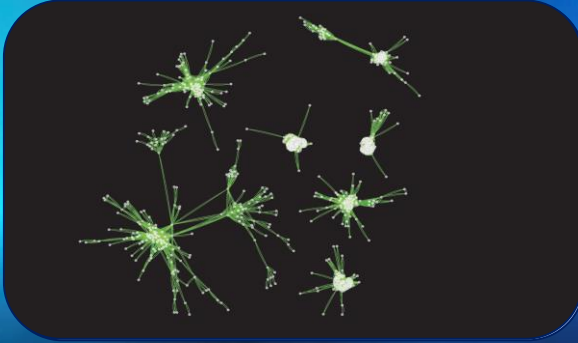
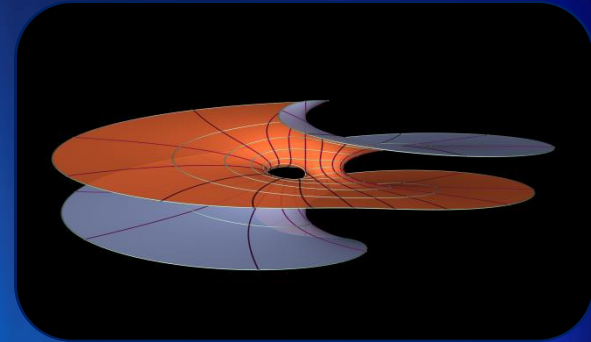
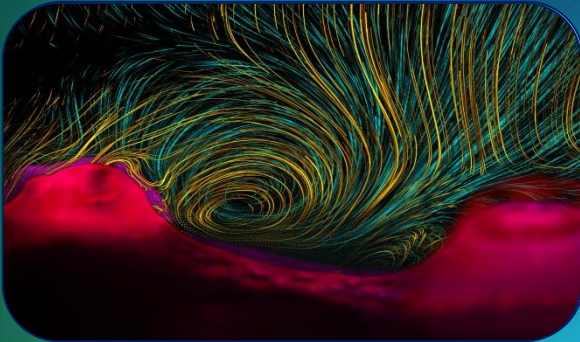
217
Nobel Prize
winners





NSF's Organization

The NSF Directorates and Offices



Computer & Information Science & Engineering (CISE)

Tatiana (Tanya) Korelsky

Information Intelligence Systems (IIS) Division
tkorelsk@nsf.gov

Robust Intelligence Program Director

Expertise in Human Languages Technologies:
natural language and speech analysis and
synthesis, dialogue systems

Engaged in cross-directorate programs involving
Cyber-learning, Science of Learning, the National
Robotics Initiative and Smart and Connected
Health



Computer & Information Science & Engineering (CISE)

James F. Kurose, Assistant Director
Erwin Gianchandani, Deputy Assistant Director (Acting)

Division of Advanced Cyberinfrastructure (ACI)

Irene M. Qualters, Division Director
Amy Apon, Deputy Division Director
(Acting)

Division of Information and Intelligent Systems (IIS)

Lynne Parker, Division Director
Deborah F. Lockhart, Deputy Division
Director

Division of Computer and Network Systems (CNS)

Peter Arzberger, Div. Director (Acting)
Phillip Regalia, Deputy Division Director
(Acting)

Division of Computing and Communication Foundations (CCF)

S. Rao Kosaraju, Division Director
James J. Donlon, Deputy Division Director

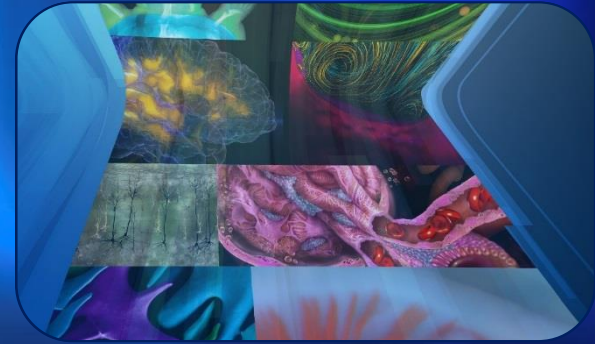
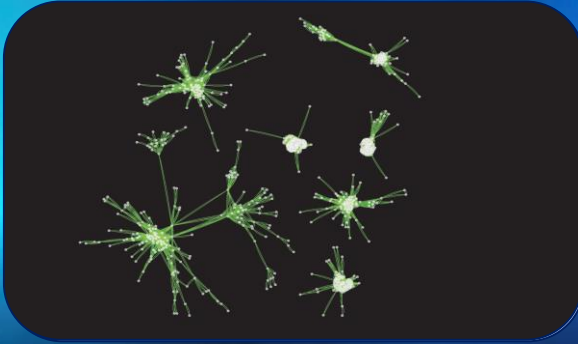
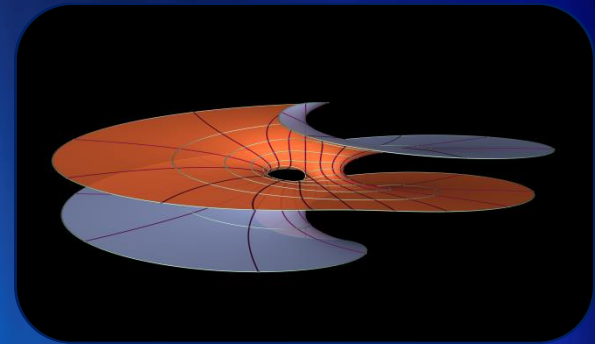
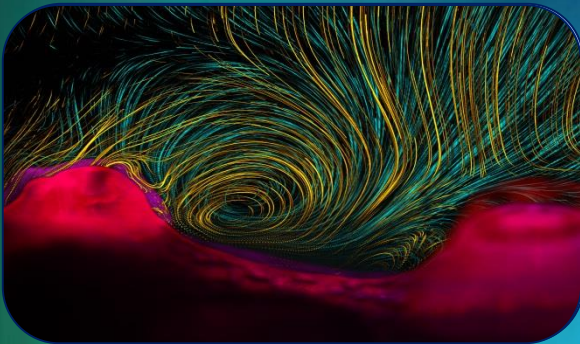
Computer & Information Science & Engineering (CISE)

Directorate Priorities

- Core research programs across computer science (CS)
- Cross-directorate and cross-NSF programs (e.g., BRAIN, Cyberlearning, Secure and Trustworthy Cyberspace, Cyber-Physical Systems, NRI, BIG DATA, Smart and Connected Health)
- CS education – STEM+C
- Building cyber infrastructure for science and engineering



The NSF Directorates and Offices



Mathematical & Physical Sciences (MPS)

James Neff

Division of Astronomical Sciences

jneff@nsf.gov



Coordinator, AST Individual
Investigator Award programs

Served as lead for:

- Galactic Astrophysics
- Stellar Astrophysics in the
Astronomy
- Astrophysics Grants program (AAG)

IPA, on detail from the College of
Charleston; Professor of Physics &
Astronomy



Mathematical & Physical Sciences (MPS)

F. Fleming Crim, Assistant Director
Clifford Gabriel, Deputy Assistant Director
(Acting)

Office of
Multidisciplinary
Activities (OMA)

Clark Cooper

Division of Astronomical Sciences (AST)

James Ulvestad, Division Director
Patricia Knezek, Deputy Division Director

Division of Materials Research (DMR)

Linda Sapochak, Division Director (Acting)
Charles Ying, Deputy Division Director
(Acting)

Division of Physics (PHY)

Denise Caldwell, Division Director
Bradley Keister, Deputy Division Director

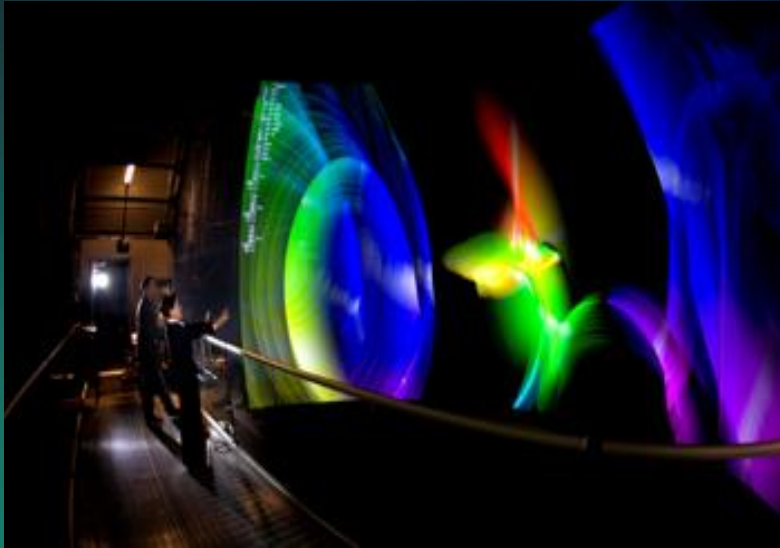
Division of Chemistry (CHE)

Carol Bessel, Division Director (Acting)
Angela Wilson, Upcoming Division Director
Timothy Patten, Deputy Division Director
(Acting)

Division of Mathematical Sciences (DMS)

Michael Vogelius, Division Director
Jennifer Pearl, Deputy Division Director
(Acting)

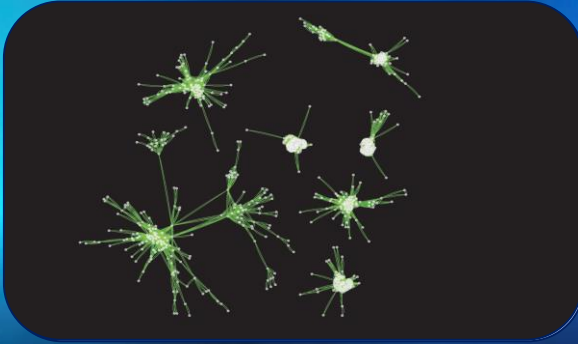
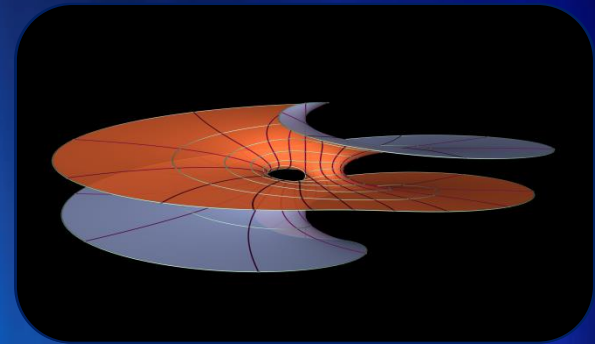
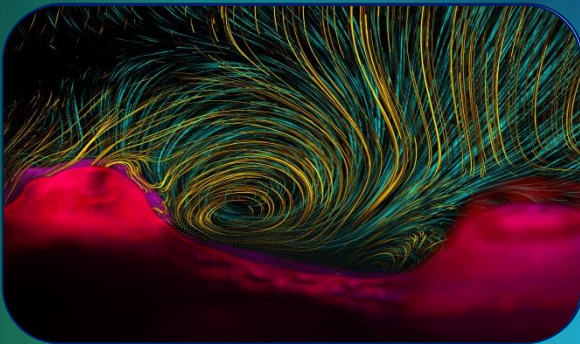
Mathematical & Physical Sciences (MPS)



Emphasis Areas

- ❖ Physical sciences at the nanoscale
- ❖ Advances in optics and photonics
 - ❖ Materials by design
 - ❖ Physics of the universe
- ❖ World-class, shared-use Facilities
 - ❖ Quantum information science
- ❖ Complex systems (multi-scale, emergent phenomena)
- ❖ Innovations at the Nexus of Food, Energy and Water Systems
 - ❖ Sustainability (energy, environment, climate)
- ❖ Interfaces between the mathematical, physical, & life sciences

The NSF Directorates and Offices



Engineering (ENG)

Alexander Leonessa

ENG / CBET

aleoness@nsf.gov



Supervises the General and Age Related Disability Engineering (GARDE) program

Also cognizant program officer for:

Major Research Instrumentation program

National Robotic Initiative

Partnership for Innovation program

Integrative Strategies for Understanding

Neural and Cognitive Systems program

Faculty member at Virginia Tech

Former faculty member at Florida Atlantic University, University of Central Florida

Engineering (ENG)

**Emerging Frontiers in
Research and Innovation
(EFRI)**

Sohi Rastegar

Innovation Corps

Babu DasGupta

Pramod Khargonekar, Assistant Director
Grace Wang, Deputy Assistant Director

**Senior Advisor for
Nanotechnology**

Mihail Roco

**Program Director for
Strategic Operations**

Cheryl Albus

**Program Director for
Evaluation & Assessment**

Alexandra Medina-Borja

**Engineering Education and Centers
(EEC)**

Mario Rotea, Division Director

**Chemical, Bioengineering, Environmental,
and Transport Systems
(CBET)**

JoAnn Lighty, Division Director

**Civil, Mechanical, and Manufacturing
Innovation (CMMI)**

Deborah Goodings, Division Director

**Electrical, Communications, and Cyber
Systems
(ECCS)**

Samir El-Ghazaly, Division Director

**Industrial Innovation and Partnerships
(IIP)**

Barry Johnson, Division Director

ENG Initiatives and Priorities

Address National Interests

- INFEWS
- Risk and Resilience: CRISP
- Urban Science
- Clean Energy Technology*
- Cyber-Enabled Materials, Manufacturing, and Smart Systems - Advanced Manufacturing*
- Optics and Photonics
- Understanding the Brain
- Education and Broadening Participation: INCLUDES
- Innovation Corps
- Emerging Frontiers in Research and Innovation
- Research Centers
- National Nanotechnology Initiative*
- Communications and Cyberinfrastructure

* National Initiatives

The Merit Review Process



Video

NSF's Proposal & Award Process Timeline

Black Box?

MERIT REVIEW CRITERIA

Intellectual Merit:

the potential to advance knowledge

Broader Impacts:

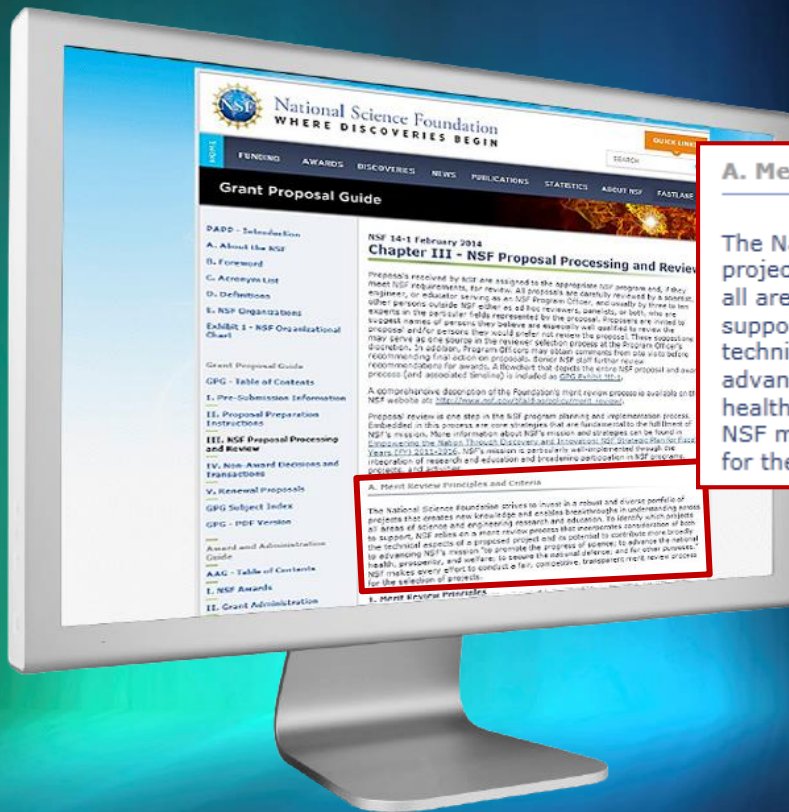
the potential to benefit society and contribute to the achievement of specific, desired societal outcomes

When Preparing Proposals

- Read the funding opportunity; ask a Program Officer for clarifications if needed
- Address all the proposal review criteria
- Understand the NSF merit review process
- Avoid omissions and mistakes
- Check your proposal to verify that it is complete!
- Double Check that the proposal NSF receives is the one you intended to send

Merit Review Guiding Principles & Criteria

The Grant Proposal Guide (GPG) contains a description of the Merit Review Criteria



A. Merit Review Principles and Criteria

The National Science Foundation strives to invest in a robust and diverse portfolio of projects that creates new knowledge and enables breakthroughs in understanding across all areas of science and engineering research and education. To identify which projects to support, NSF relies on a merit review process that incorporates consideration of both the technical aspects of a proposed project and its potential to contribute more broadly to advancing NSF's mission "to promote the progress of science; to advance the national health, prosperity, and welfare; to secure the national defense; and for other purposes." NSF makes every effort to conduct a fair, competitive, transparent merit review process for the selection of projects.

A. Merit Review Principles and Criteria

The National Science Foundation strives to invest in a robust and diverse portfolio of projects that creates new knowledge and enables breakthroughs in understanding across all areas of science and engineering research and education. To identify which projects to support, NSF relies on a merit review process that incorporates consideration of both the technical aspects of a proposed project and its potential to contribute more broadly to advancing NSF's mission "to promote the progress of science; to advance the national health, prosperity, and welfare; to secure the national defense; and for other purposes." NSF makes every effort to conduct a fair, competitive, transparent merit review process for the selection of projects.

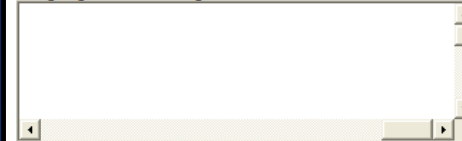
Review Format in FastLane

- Reviewers provide feedback to NSF based on the Review Criteria and the Review Elements
- Review Criteria and Elements are available as reviewers provide feedback

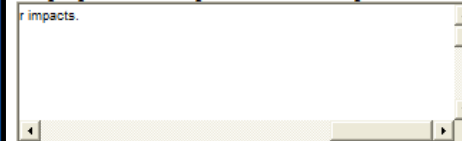
The following elements should be considered in the review for both criteria:

1. What is the potential for the proposed activity to
 - a. advance knowledge and understanding within its own field or across different fields (Intellectual Merit); and
 - b. benefit society or advance desired societal outcomes (Broader Impacts)?
2. To what extent do the proposed activities suggest and explore creative, original, or potentially transformative concepts?
3. Is the plan for carrying out the proposed activities well-reasoned, well-organized, and based on a sound rationale? Does the plan incorporate a mechanism to assess success?
4. How well qualified is the individual, team, or institution to conduct the proposed activities?
5. Are there adequate resources available to the PI (either at the home institution or through collaborations) to carry out the proposed activities?

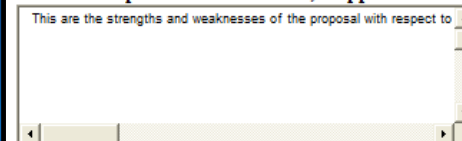
In the context of the five review elements, please evaluate the strengths and weaknesses of the proposal with respect to intellectual merit.

A large, empty text input box with a scroll bar on the right side, intended for reviewers to provide feedback on the proposal's intellectual merit.

In the context of the five review elements, please evaluate the strengths and weaknesses of the proposal with respect to broader impacts.

A large, empty text input box with a scroll bar on the right side, intended for reviewers to provide feedback on the proposal's broader impacts.

Please evaluate the strengths and weaknesses of the proposal with respect to any additional solicitation-specific review criteria, if applicable.

A large, empty text input box with a scroll bar on the right side, intended for reviewers to provide feedback on the proposal's strengths and weaknesses relative to specific solicitation criteria.

Over 2,000 proposals were RWR in FY 2014

6 most common reasons why

1. Not responsive to the GPG or program announcement/solicitation (960)
2. Does not meet an announced proposal deadline date and time (171)
3. It is inappropriate for NSF funding (74)
4. Duplicative or substantially similar to a proposal already under consideration (66)
5. Not substantively revised from a proposal that was previously reviewed and declined (37)
6. Duplicates another proposal that was already awarded (24)



Types of Reviews

- Ad Hoc
 - Proposals are sent out for review
- Panel
 - Face-to-Face sessions conducted with reviewers. Held at NSF, or virtually via assistive technologies such as WebEx or BlueJeans
- Combination
 - Some proposals may undergo supplemental ad hoc reviews before or after a panel review
- Internal
 - Reviewed by NSF Program Officers



How are Reviewers Selected?

- **Three or more external reviewers per proposal are selected**
- **Types of Reviewers Recruited**
 - Specific content expertise
 - General science or education expertise
- **Sources of Reviewers**
 - Former reviewers
 - Program Officer's knowledge of the research area
 - References listed in proposal
 - Recent professional society programs
 - S&E journal articles related to the proposal
 - Reviewer recommendations included in proposal



What is the Role of the Reviewer?

- **Review all proposal material and consider**
 - The two NSF merit review criteria and any program specific criteria
 - Adequacy of the proposed project plan- including the budget, resources, and timeline
 - Priorities of the scientific field and of the NSF program
 - Potential risks and benefits of the project
- **Make independent written comments on the quality of the proposal content**



What is the Role of the Review Panel?

- Discuss the merits of the proposal with the other panelists
- Write a summary based on that discussion
- Provide some indication of the relative merits of different proposals considered



Why Serve on an NSF Panel?

- Gain first-hand knowledge of the merit review process
- Learn about common problems with proposals



- Discover proposal writing strategies
- Meet colleagues and NSF Program Officers managing the programs related to your research

How Do I Become a Reviewer?

Contact the NSF Program Officer(s) of the program(s) that fit your expertise

- Introduce yourself as a strong potential reviewer based on your research experience
- Offer to send a 2-page CV with current contact information
- Stay in touch if you don't hear back right away



Conflicts of Interest (COI)

What is a COI?

How we address conflict of interest

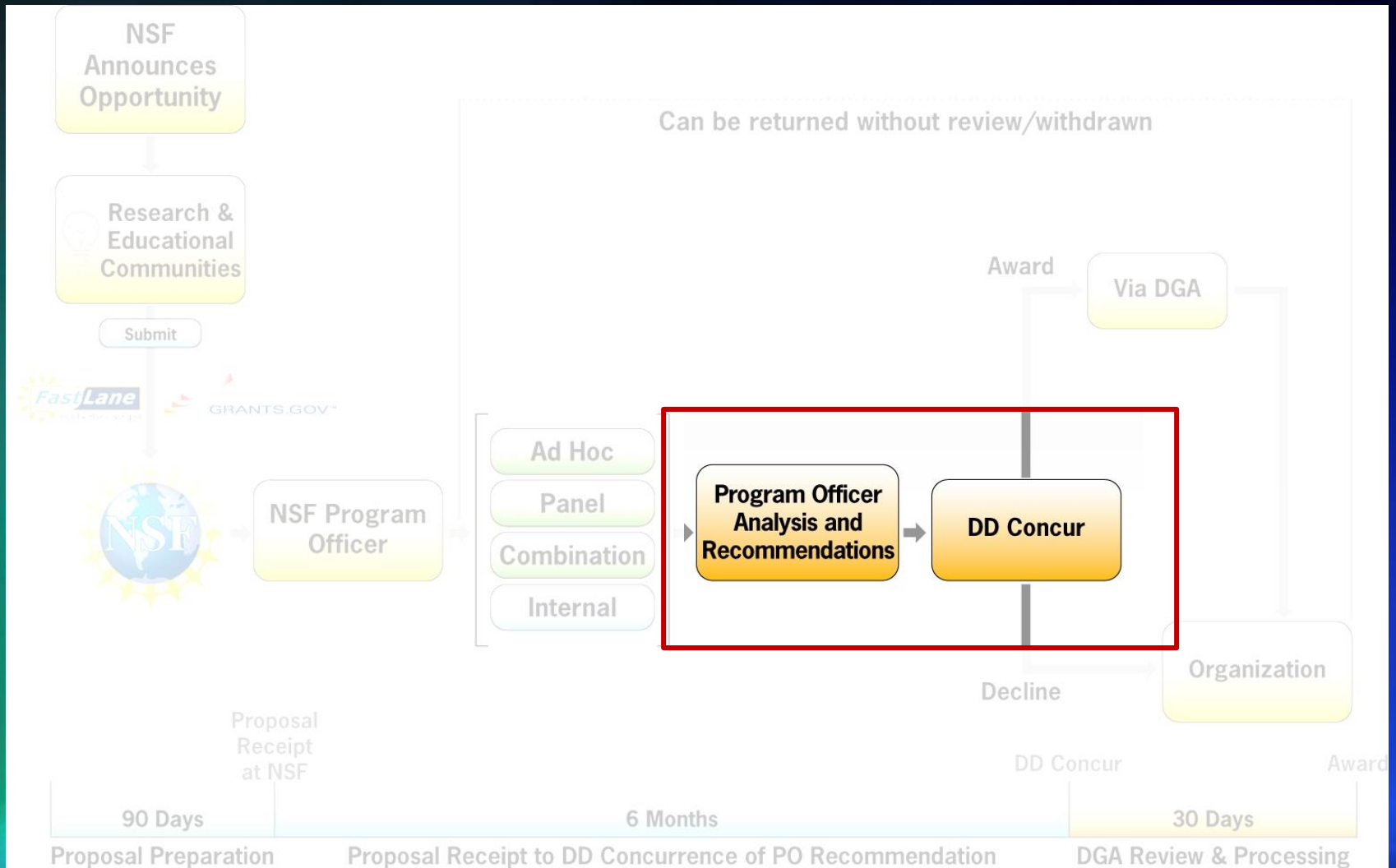
NSF checks and avoids COIs in the review process

Institutional COIs

Personal COIs



Proposal Review and Processing



Funding Decisions

Reviews are Advisory to NSF

- **The merit review process provides:**
 - Review of the proposal and a recommendation on funding.
 - Feedback (strengths and weaknesses) to the proposers.
- **NSF Program Officers make funding recommendations guided by program goals and portfolio considerations.**
- **NSF Division Directors either concur or reject the Program Officers' funding recommendations.**

Feedback from Merit Review

- Reviewer ratings (such as: E, V, G, F, P)
- Analysis of how well proposal addresses both review criteria: Intellectual Merit and Broader Impacts
- Proposal strengths and weaknesses
- Reasons for decline (if applicable)
- If you have any questions, contact the cognizant Program Officer.



Documentation from Merit Review

- Verbatim copies of individual reviews, excluding reviewer identities
- Panel summary or summaries (if panel review was used)
- Context statement (usually)
- Program Officer to Principal Investigator comments (formal or informal, written, email or verbal) as necessary to explain a decision



Examples of Reasons for Declines

- **Not considered competitive based on merit review criteria and program office concurrence**
- **Flaws or issues identified by the Program Officer**
- **Funds were not adequate to fund all competitive proposals**



Revisions and Resubmissions

- Do the reviewers and the NSF Program Officer identify significant strengths in your proposal?
- Can you address the identified weaknesses?
- Can the proposal be **significantly** revised?
- Are there other ways your colleagues or you think a resubmission can be strengthened?



Questions?

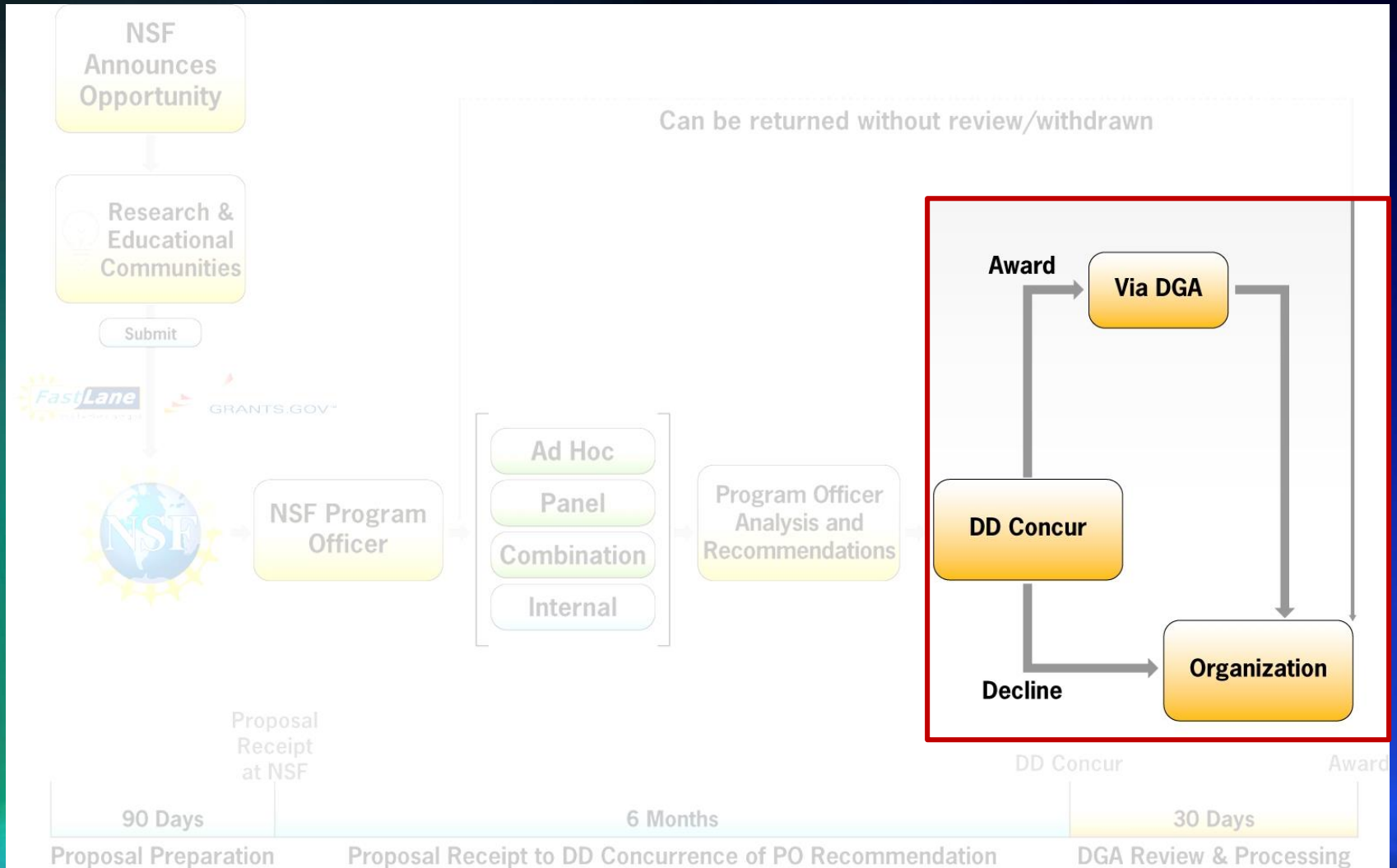
Contact your cognizant Program Officer!

Possible Considerations for Funding a Competitive Proposal

- Addresses all review criteria
- Likely high impact
- Broadening participation
- Educational impact
- Impact on institution/state
- Special programmatic considerations (e.g. CAREER/RUI/EPSCoR)
- Other support for PI
- “Launching” versus “Maintaining”
- Portfolio balance

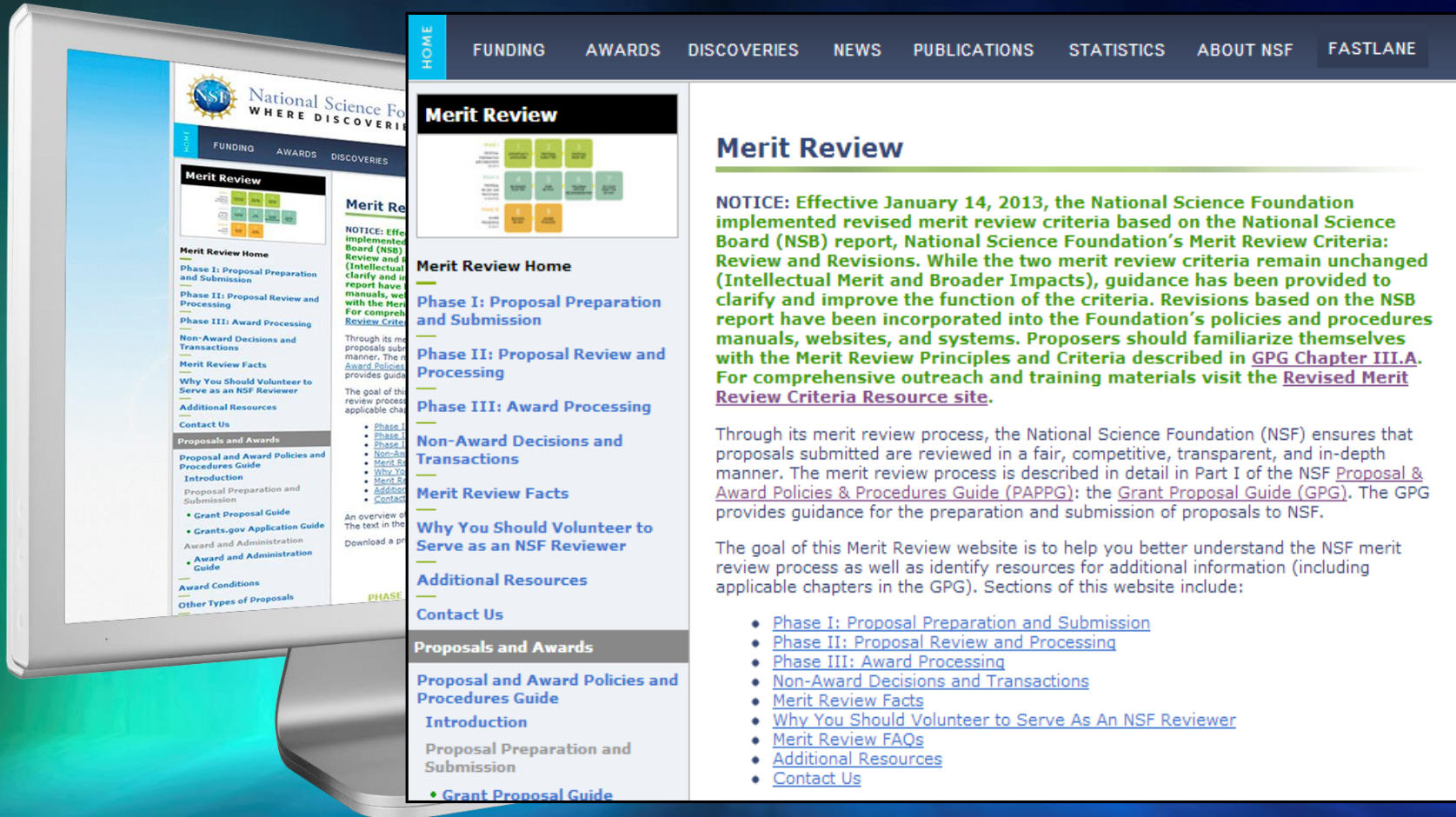


Proposal Review and Processing



For More Information

Go to NSF's Home Page (<http://www.nsf.gov>)



HOME FUNDING AWARDS DISCOVERIES NEWS PUBLICATIONS STATISTICS ABOUT NSF FASTLANE

Merit Review

NOTICE: Effective January 14, 2013, the National Science Foundation implemented revised merit review criteria based on the National Science Board (NSB) report, National Science Foundation's Merit Review Criteria: Review and Revisions. While the two merit review criteria remain unchanged (Intellectual Merit and Broader Impacts), guidance has been provided to clarify and improve the function of the criteria. Revisions based on the NSB report have been incorporated into the Foundation's policies and procedures manuals, websites, and systems. Proposers should familiarize themselves with the Merit Review Principles and Criteria described in [GPG Chapter III.A](#). For comprehensive outreach and training materials visit the [Revised Merit Review Criteria Resource site](#).

Through its merit review process, the National Science Foundation (NSF) ensures that proposals submitted are reviewed in a fair, competitive, transparent, and in-depth manner. The merit review process is described in detail in Part I of the NSF [Proposal & Award Policies & Procedures Guide \(PAPPG\)](#); the [Grant Proposal Guide \(GPG\)](#). The GPG provides guidance for the preparation and submission of proposals to NSF.

The goal of this Merit Review website is to help you better understand the NSF merit review process as well as identify resources for additional information (including applicable chapters in the GPG). Sections of this website include:

- [Phase I: Proposal Preparation and Submission](#)
- [Phase II: Proposal Review and Processing](#)
- [Phase III: Award Processing](#)
- [Non-Award Decisions and Transactions](#)
- [Merit Review Facts](#)
- [Why You Should Volunteer to Serve As An NSF Reviewer](#)
- [Additional Resources](#)
- [Contact Us](#)

Proposals and Awards

Proposal and Award Policies and Procedures Guide

Introduction

Proposal Preparation and Submission

- [Grant Proposal Guide](#)

Ask Early, Ask Often!

Contact the cognizant Program Officer



Questions?





THE END

We'll see you tomorrow. . .

The background of the slide is an abstract composition of light rays. On the left side, there are bright green and cyan rays emanating from the bottom left corner, spreading outwards. On the right side, there are deep blue rays emanating from the bottom right corner, also spreading outwards. The center of the image is a darker blue, where the two sets of rays appear to meet or overlap, creating a sense of depth and movement. The overall effect is reminiscent of light passing through a prism or a lens, creating a dynamic and scientific atmosphere.

OVERVIEW AND FACTS ABOUT THE NATIONAL SCIENCE FOUNDATION

Welcome to Virtual NSF Day!



Wednesday
February 24, 2016





Ubiquity

Urgency

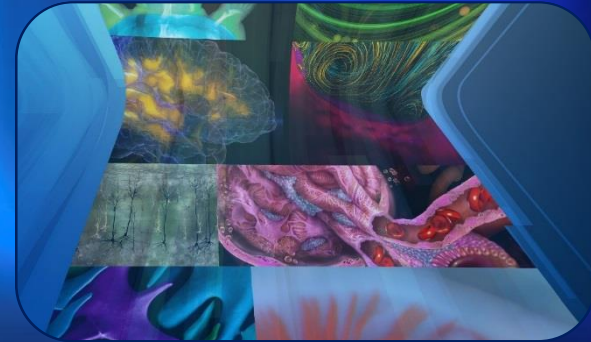
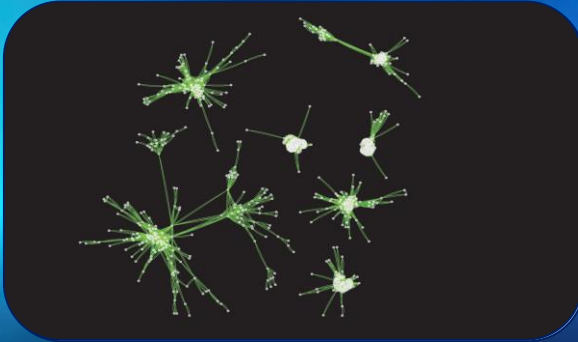
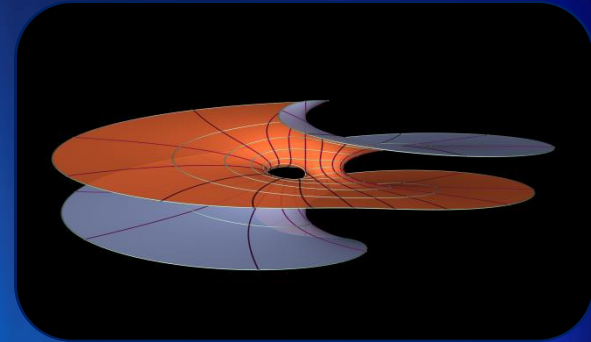
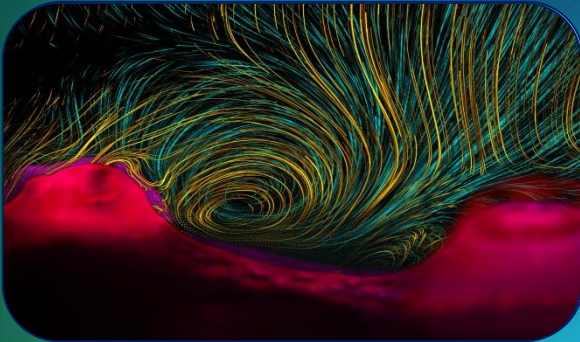
Engagement





NSF's Organization

The NSF Directorates and Offices



Education & Human Resources (EHR)

Tom Higgins

Division of Undergraduate Education

thhiggins@nsf.gov



- Distinguished award winning Professor of Chemistry, Harold Washington College, Chicago, IL
- Chair, Physical Sciences
- Active member, American Chemical Society, Society Committee on Education, Two-Year College Chemistry Consortium

Education & Human Resources (EHR)

Dr. Joan Ferrini-Mundy
Assistant Director

**Division of Graduate Education
(DGE)**

Dean Evasius
Division Director

**Division of Human Resource Development
(HRD)**

Sylvia M. James
Division Director

**Division of Research on Learning in Formal and
Informal Settings (DRL)**

Evan Heit
Division Director

**Division of Undergraduate Education
(DUE)**

Susan R. Singer
Division Director

Education & Human Resources (EHR)



Learning and learning environments

Cognitive and non-cognitive foundations of STEM

Creative uses of formal and informal STEM learning



Broadening participation in STEM

Access to and success in high-quality

STEM education for underrepresented groups



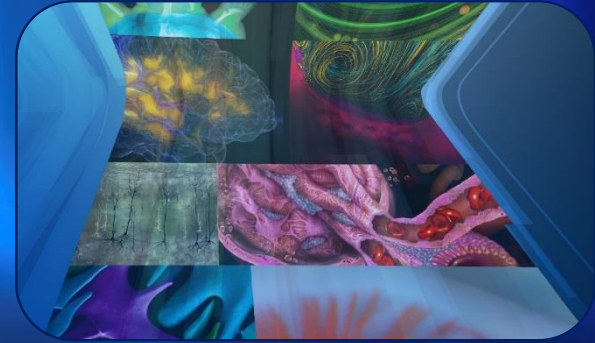
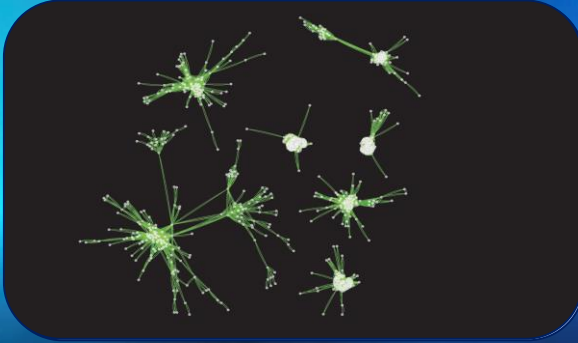
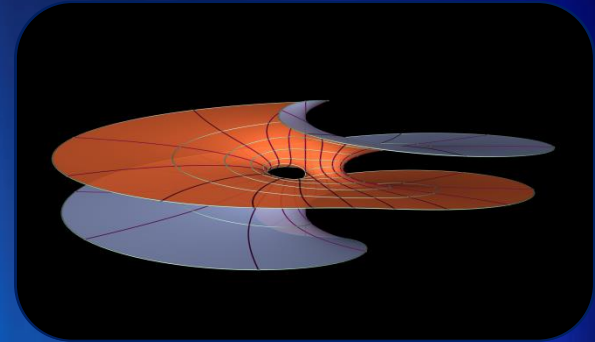
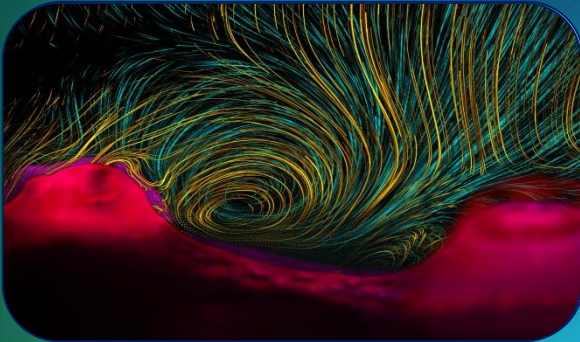
STEM professional workforce development

Capitalize on scientific advances

Address not yet imagined global, social & econ challenges



The NSF Directorates and Offices



Office of International and Integrative Activities (OD/OIIA)

Randy L. Phelps

Integrative Activities

rphelps@nsf.gov



- Co-coordinates two NSF-wide programs: MRI and STC.
- Recent co-chair and still active member, INSPIRE Working Group
- Former program director in NSF's Astronomy Division
- Former full professor in the Department of Astronomy and Physics at California State University, Sacramento.

Office of Integrative Activities (OD/OIA)

Office Priorities

- IA: Science and Technology Centers (STC)
- IA: Major Research Instrumentation (MRI)
- IA: Integrated NSF Support Promoting Inter-disciplinary Research and Education (INSPIRE)
- EPSCoR: Research Infrastructure Improvement (RII)



Crosscutting & NSF-wide Opportunities



What Is meant by crosscutting?

Sponsored by >1 NSF unit....

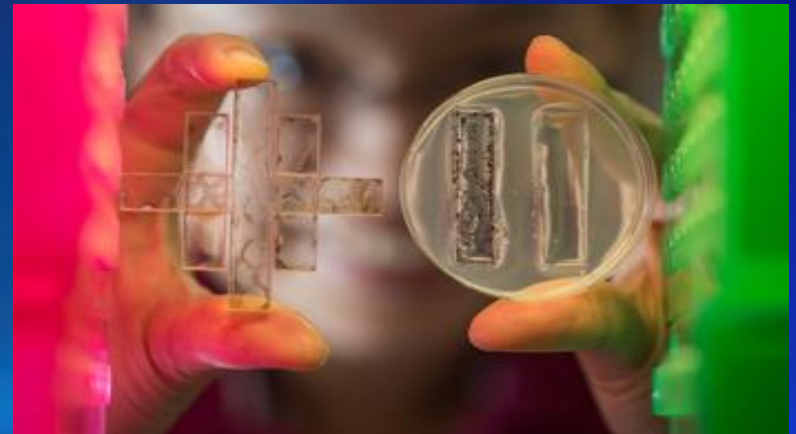
Cuts across NSF in different ways...

Collaborative with other
U.S. government agencies...



Types of Crosscutting Activities

- International
- Interdisciplinary research – theme-based (e.g., Designing Materials, Hazards and Disasters)
- People-oriented (e.g., ADVANCE, CAREER, REU, Work-Life Balance)
- Infrastructure (e.g., MRI)
- Translational (ICorps, SBIR)
- Institutional, Centers (e.g., IUCRC, STC)



Find Funding for NSFwide and Crosscutting Opportunities

Go to: www.nsf.gov/funding/pgm.list.jsp?type=xcut

**National Science Foundation**
WHERE DISCOVERIES BEGIN

QUICK LINKS

SEARCH

HOME FUNDING AWARDS DISCOVERIES NEWS PUBLICATIONS STATISTICS ABOUT NSF FASTLANE

Funding

[Find Funding](#)
[A-Z Index of Funding Opportunities](#)
[Recent Funding Opportunities](#)
[Upcoming Due Dates](#)
[Advanced Funding Search](#)
[Interdisciplinary Research](#)
[How to Prepare Your Proposal](#)
[About Funding](#)
Proposals and Awards
[Proposal and Award Policies and Procedures Guide](#)
[Introduction](#)
[Proposal Preparation and Submission](#)
[Grant Proposal Guide](#)
[Grants.gov Application Guide](#)
[Award and Administration Guide](#)
[Award and Administration Guide](#)
[Award Conditions](#)
[Other Types of Proposals](#)
[Merit Review](#)
[NSF Outreach](#)

[Email](#) [Print](#) [Share](#)

Crosscutting and NSF-wide Active Funding Opportunities

This site provides program information for activities sponsored by more than one NSF organization. In addition, all NSF organizations accept proposals that cut across organizational and programmatic boundaries. We suggest that those seeking support for interdisciplinary work not described here consult the NSF program site(s) closest to the science, engineering or education focus of the planned work and contact relevant program officers to discuss submission of a proposal.

Org: Status:

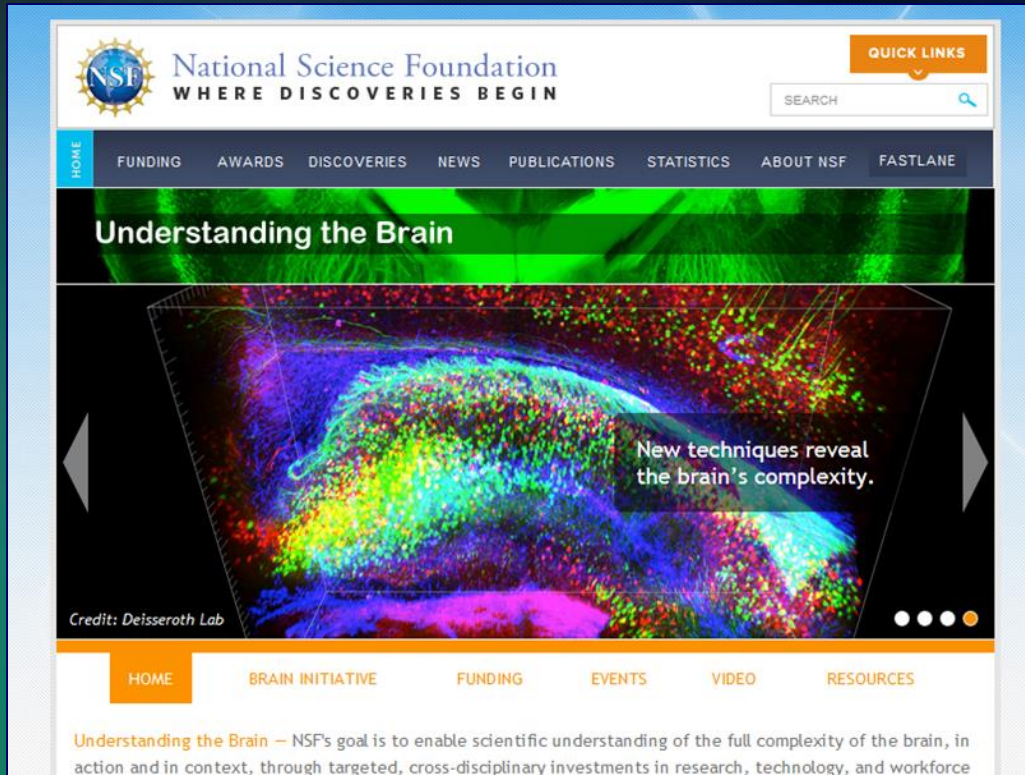
☒ [Get Crosscutting Program Announcements & Info Updates by Email](#) [RSS](#)

Sorted by Title. Click column headings to sort.

Key: Crosscutting | NSF-wide | Grants.gov submission required

Title	Program Guidelines	Due Dates
Academic Research Infrastructure Program: Recovery and Reinvestment (ARI-R2)	09-562	Current but no longer receiving proposals
ADVANCE: Increasing the Participation and Advancement of Women in Academic Science and Engineering Careers	14-573	Letter of Intent: August 11, 2014 Letter of Intent: August 20, 2014 Full Proposal: September 22, 2014 Full Proposal: October 3, 2014
Algorithms for Threat Detection (ATD)	12-502	Waiting for new publication

Brain Research through Advancing Innovative Neurotechnologies (BRAIN)



To enable scientific understanding of the full complexity of the brain in action and in context through targeted, cross-disciplinary investments in research, technology, and workforce development

Brain Research through Advancing Innovative Neurotechnologies (BRAIN)

Thematic areas of **BRAIN**

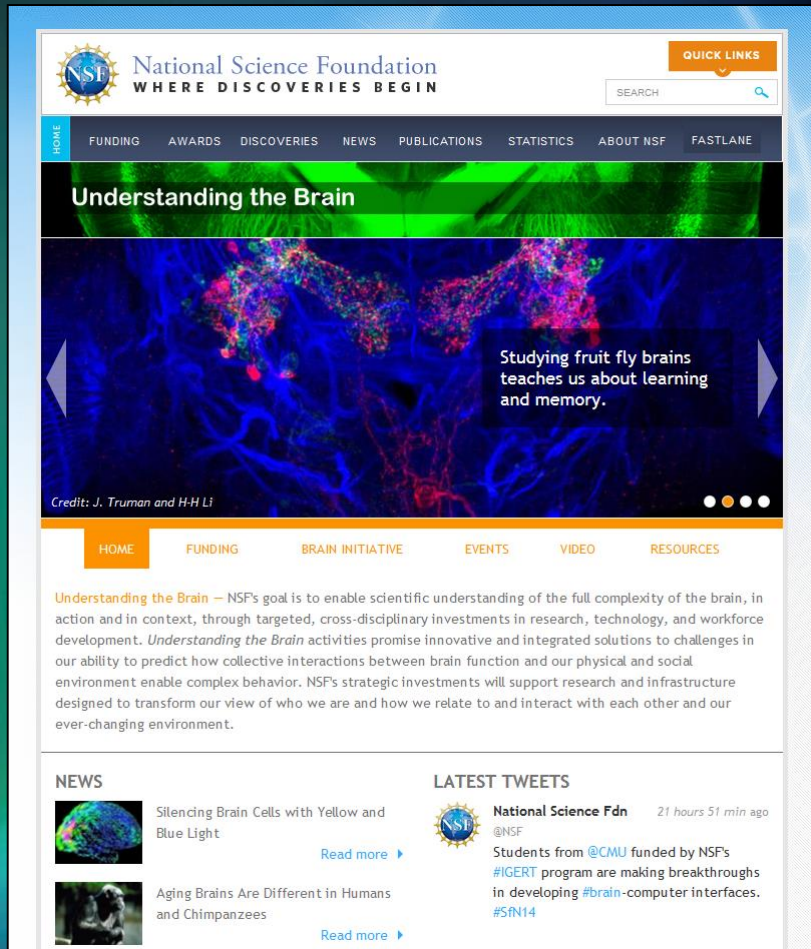
Multi-scale Integration of the dynamic activity and structure of the brain

Neurotechnology and research infrastructure

Quantitative theory and modeling of brain function

Brain-Inspired concepts and designs

BRAIN Workforce Development



RAPID/ EAGER

Grants for Rapid Response Research (RAPID)

Severe Urgency

Up to \$200K/one year

Brief project description

Internal review



EARly-concept Grants for Exploratory Research (EAGER)

Potentially transformative

Up to \$300K/one year

"High risk-high payoff"

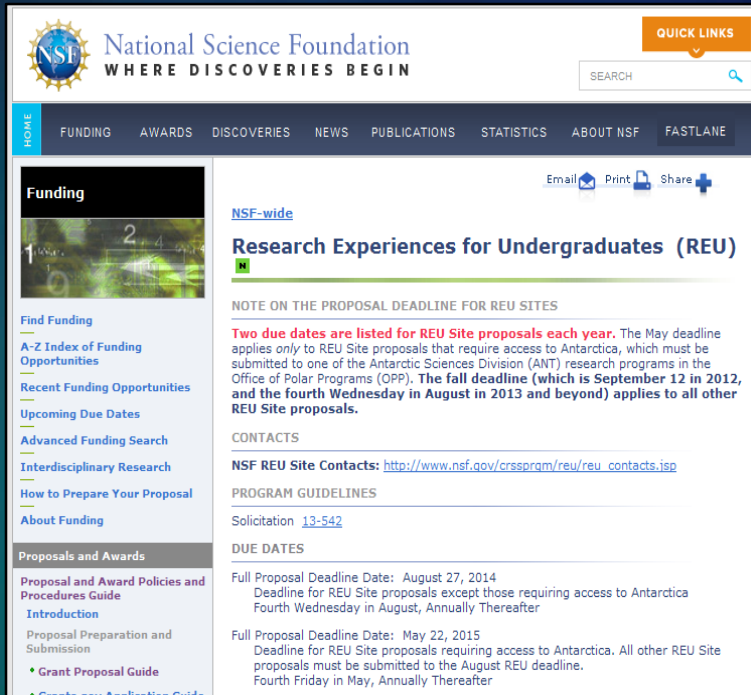
Internal review

Rare but occasional external review

Research Experiences for Undergraduates

REU Goals:

- Initiate and conduct projects that engage a number of undergraduate students in summer research.
- Involve students who might not otherwise have the opportunity, particularly those from academic institutions where research programs are limited; **applications from younger students (rising sophomores) are encouraged**

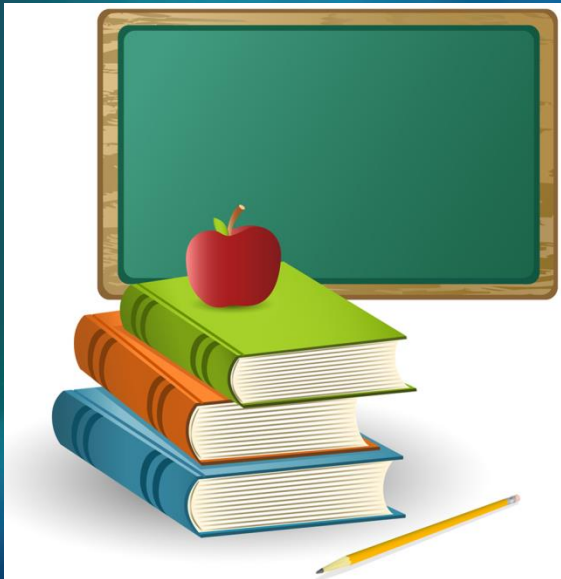


REU in BIO is administered through DBI; (typical programs include 10 students for 10 weeks

Research Experiences for Teachers

RET Goals:

Enable K-12 teachers and community college faculty to engage in STEM research and then adapt knowledge into their teaching



- RET Sites and Supplements
- May be included in REU proposals
- Check Directorates for specific mechanisms

NSF Research Traineeship (NRT) Program

Replaces



Encouraging the development and implementation of bold, new, potentially transformative, and scalable models for STEM graduate training

Traineeship Track
\$3,000,000
for up to 5 years



Innovations in Graduate Education (IGE) Track
\$300,000 - \$500,000 for 2-3 years



NSF Research Traineeship (NRT) Program

APPLICATION DUE DATES:

Letter of Intent Deadline Date: December 9, 2016
For both tracks

Full Proposal Deadline Date: February 7, 2017
For both tracks

2016			2017		
January	February	March	January	February	March
April	May	June	April	May	June
July	August	September	July	August	September
October	November	December	October	November	December
Federal Holidays 2016			Federal Holidays 2017		



Major Research Instrumentation (MRI)

Goals:

- Support acquisition of major state-of-the-art instrumentation
- Foster development of the next generation of major instrumentation
- Integrate research with education
- Use, advance, expand the nation's cyber-infrastructure and/or high performance computing capability
- Promote academic & private sector instrument development partnerships



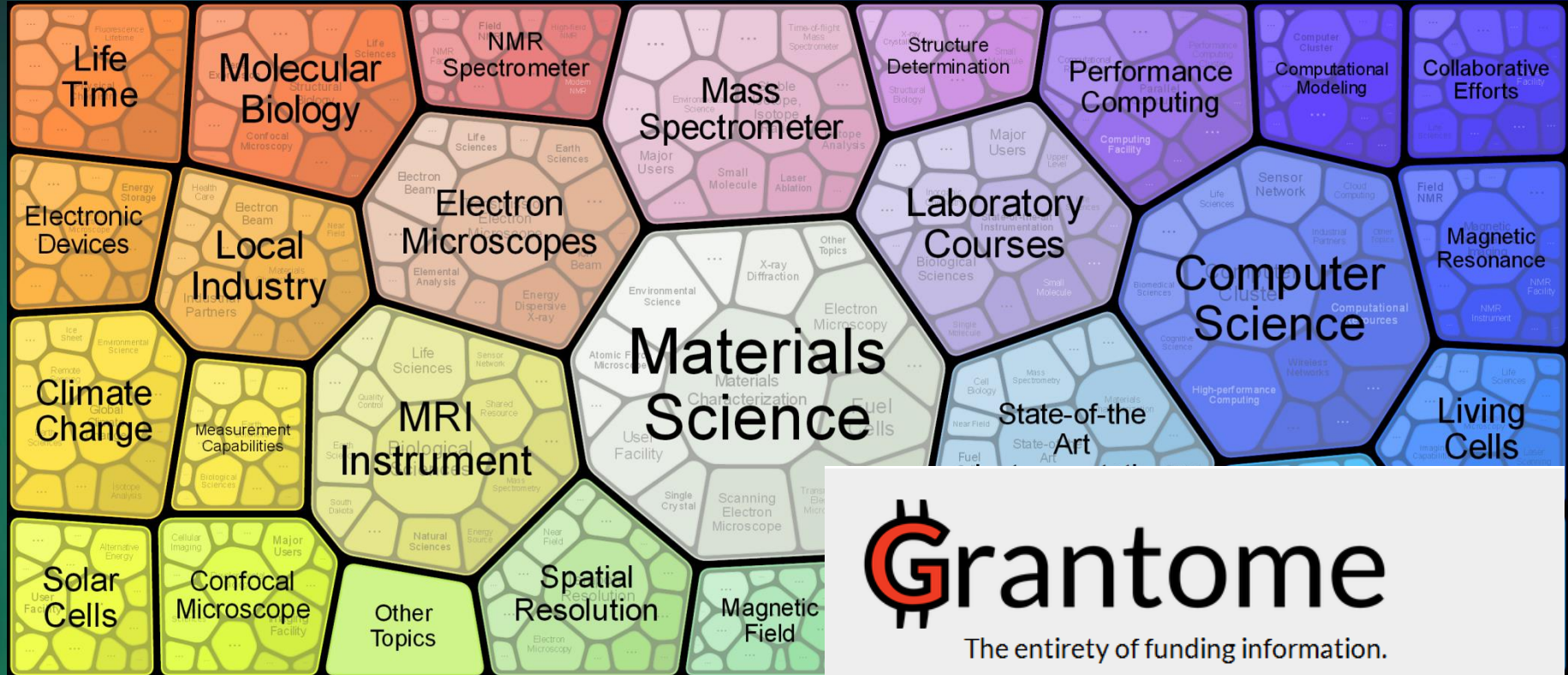
The screenshot displays the NSF website's 'Major Research Instrumentation Program (MRI)' page. The header includes the NSF logo, the tagline 'WHERE DISCOVERIES BEGIN', and a search bar. A navigation menu lists categories like FUNDING, AWARDS, DISCOVERIES, NEWS, PUBLICATIONS, STATISTICS, ABOUT NSF, and FASTLANE. The main content area is titled 'Major Research Instrumentation Program (MRI)' and includes a sidebar with links to 'Find Funding', 'A-Z Index of Funding Opportunities', 'Recent Funding Opportunities', 'Upcoming Due Dates', 'Advanced Funding Search', 'Interdisciplinary Research', 'How to Prepare Your Proposal', and 'About Funding'. The main text area features a section for 'NSF-wide' announcements, a 'FREQUENTLY ASKED QUESTIONS POSTED' section, and a 'CONTACTS' section. The contacts section includes a table with the following information:

Name	Email	Phone	Room
Dr. Randy L. Phelps	mri@nsf.gov	(703) 292-8040	

Below the table, it states: 'Additional contact information for NSF's Major Research Instrumentation Program is as follows: Office of Integrative Activities, Major Research Instrumentation Program, National Science Foundation, Room 935, 4201 Wilson Boulevard, Arlington, VA 22230, (703) 292-8040. E-Mail: mri@nsf.gov. Website: <http://www.nsf.gov/od/oia/programs/mri>.' The page also includes a 'PROGRAM GUIDELINES' section with a link to 'Solicitation 13-517' and an 'Important Notice to Proposers' section stating that a revised version of the NSF Proposal & Award Policies & Procedures Guide (PAPPPG), NSF 13-1, was issued on October 4, 2012 and is effective for proposals submitted, or due, on or after January 14, 2013. It advises that, depending on the specified due date, the guidelines contained in NSF 13-1 may apply to proposals submitted in response to this funding opportunity.

Major Research Instrumentation (MRI)

Thematic Areas:



Grantome

The entirety of funding information.

The competition for securing research funding has never been so intense. Increase your chance of getting grants by using our tools to discover the most important factors underlying funded research in your area.

Graduate Research Fellowship Program



Goals:

- Select, recognize, and financially support early in their careers individuals with the demonstrated potential to be high achieving scientists and engineers
- Broaden participation in science and engineering of underrepresented groups, including women, minorities, persons with disabilities, and veterans





5 Year Award = \$138,000

\$34,000/year for 3 years +

+

**\$12,000 Educational allowance
to institution**

Professional Development Opportunities:

GROW: International Research

GRIP: Internships

Supercomputer access: XSEDE

Career Life Balance (family leave)





RESOURCES:

Solicitation and links

www.nsf.gov/grfp

NSF GRFP FastLane Website

www.fastlane.nsf.gov/grfp

Application, guides, announcements

GRFP Website, www.nsfgrfp.org

Current & former Fellows

866-NSF-GRFP, info@nsfgrfp.org

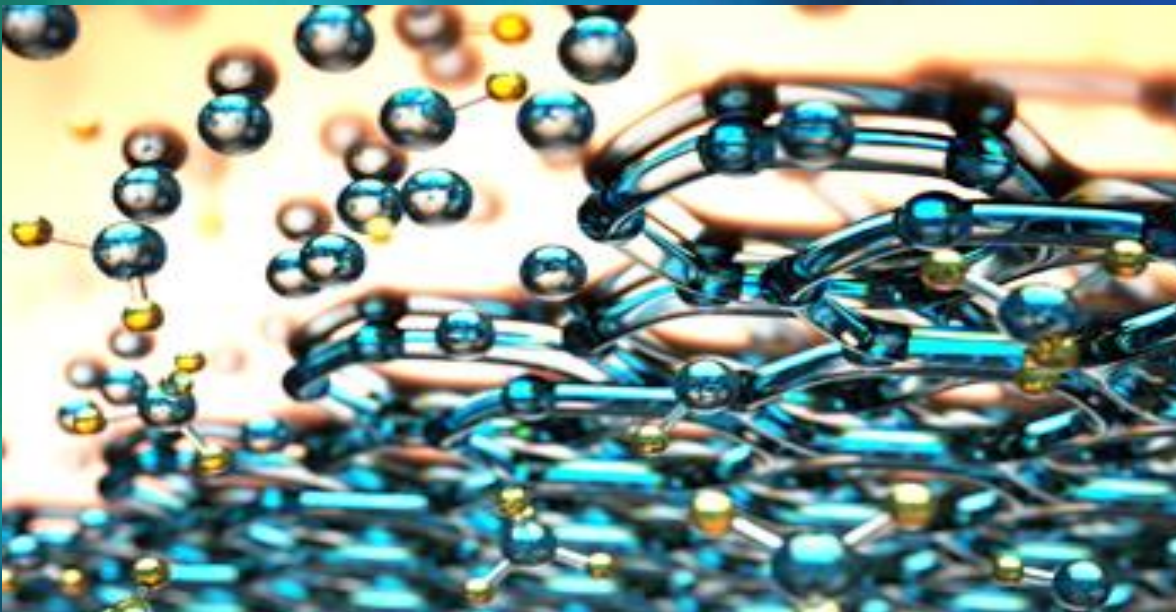


Grant Opportunities for Academic Liaison with Industry - GOALI

Promotes university-industry partnerships

Supplies project funds or fellowships/traineeships

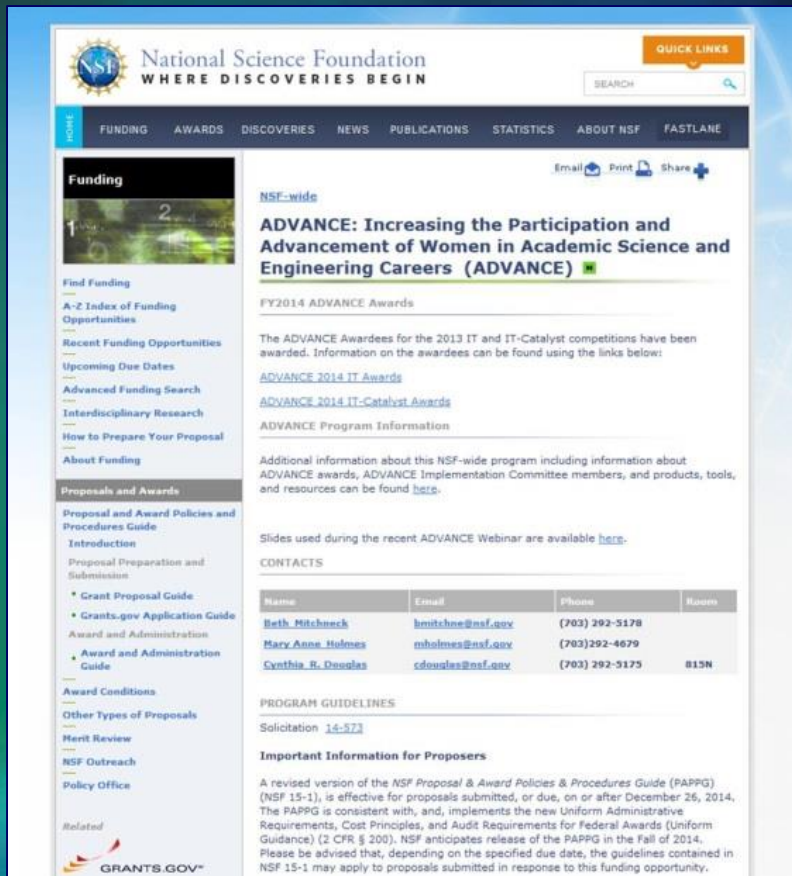
Supports eclectic mix of industry-university linkages



Encourages research
that lies beyond that
which industry would
normally fund
SOLO

ADVANCE:

Increasing the Participation and Advancement of Women in Academic Science and Engineering Careers



The screenshot shows the NSF ADVANCE website. The header includes the NSF logo and the text "National Science Foundation WHERE DISCOVERIES BEGIN". A search bar and "QUICK LINKS" button are in the top right. A navigation menu lists: FUNDING, AWARDS, DISCOVERIES, NEWS, PUBLICATIONS, STATISTICS, ABOUT NSF, and FASTLANE. The main content area is titled "Funding" and features a "NSF-wide" section for "ADVANCE: Increasing the Participation and Advancement of Women in Academic Science and Engineering Careers (ADVANCE)". Below this, it lists "FY2014 ADVANCE Awards" and provides links for "ADVANCE 2014 IT Awards" and "ADVANCE 2014 IT-Catalyst Awards". A table lists the ADVANCE Program Information, including the names, emails, phones, and rooms of the program managers. The table is as follows:

Name	Email	Phone	Room
Beth Mitschnee	bmitschnee@nsf.gov	(703) 292-5178	
Mary Anne Holmes	mholmes@nsf.gov	(703) 292-4679	
Cynthia R. Douglas	cdouglas@nsf.gov	(703) 292-5175	815N

Below the table, there is a section for "PROGRAM GUIDELINES" and "Important Information for Proposers", which mentions the NSF Proposal & Award Policies & Procedures Guide (PAPPG) and its effective date of December 26, 2014.

Goals:

Systemic approaches to increase the representation and advancement of women in academic STEM careers.

Contribute to and inform the general knowledge base on gender equity in the academic STEM disciplines.

Questions?





THE END

We'll see you tomorrow. . .

Welcome to Virtual NSF Day!

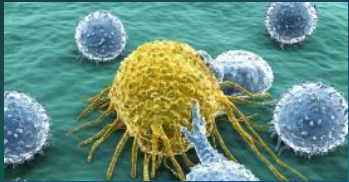


Thursday
February 25, 2016

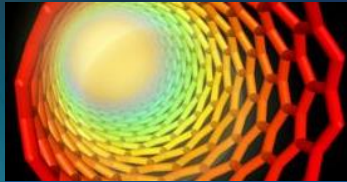




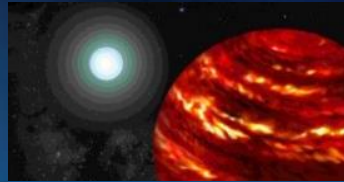
OVERVIEW AND FACTS ABOUT
THE NATIONAL SCIENCE
FOUNDATION



Biological
Sciences



Engineering



Mathematical &
Physical Sciences



Computer & Information
Science & Engineering



Geosciences
(including Polar Programs)



Integrative
Activities



Education &
Human Resources

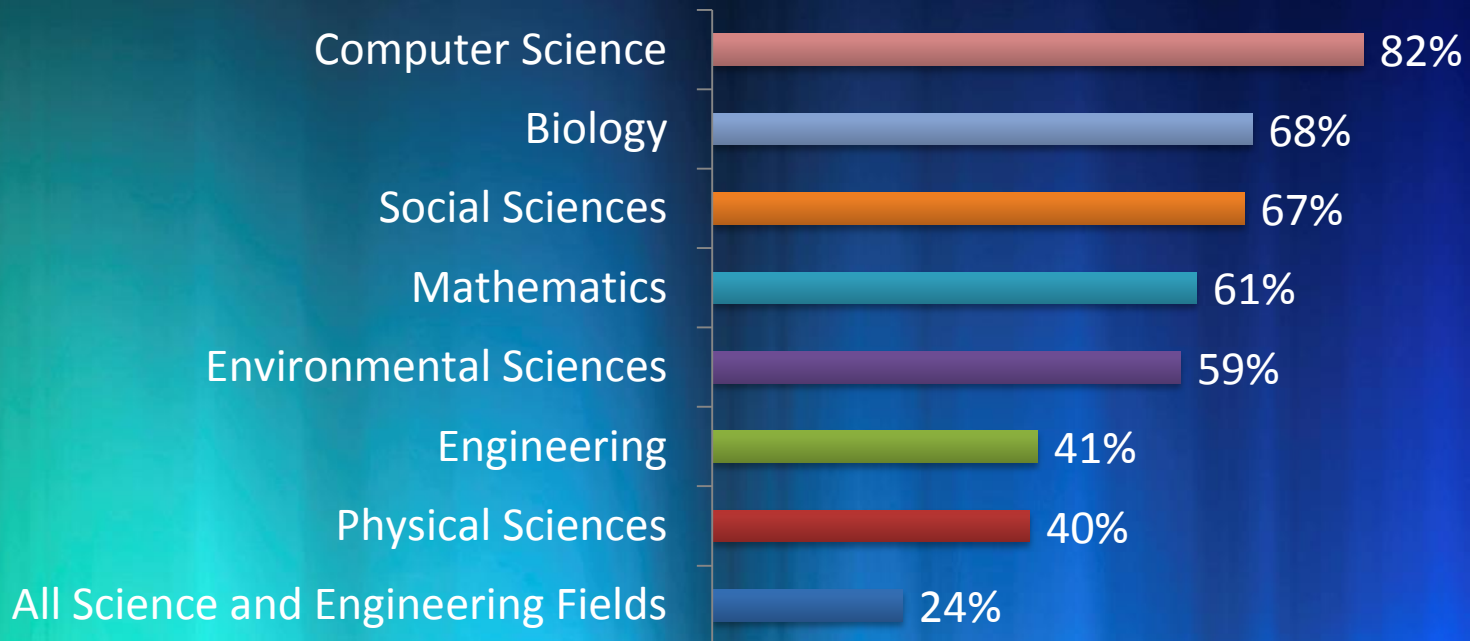


Social, Behavioral &
Economic Sciences



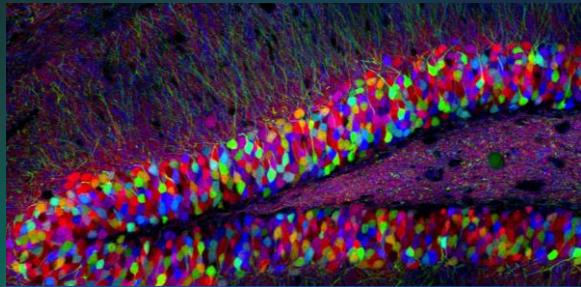
International Science
and Engineering

NSF Support of Academic Basic Research in Selected Fields (as a percentage of total federal support)



Note: Biology includes Biological Science and Environmental Biology; excludes National Institute of Health

Source: NSF/National Center for Science and Engineering Statistics, Survey of Federal Funds for Research and Development



Understanding the Brain



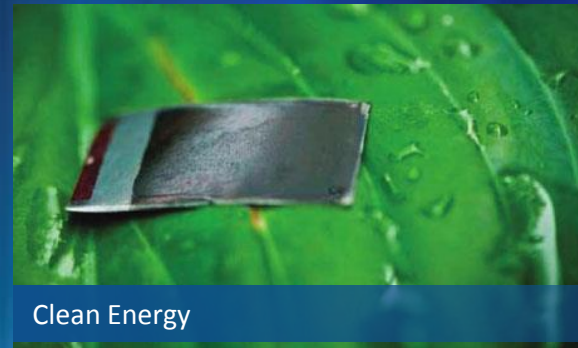
Food/Energy/Water



Risk and Resilience



Inclusion and Diversity

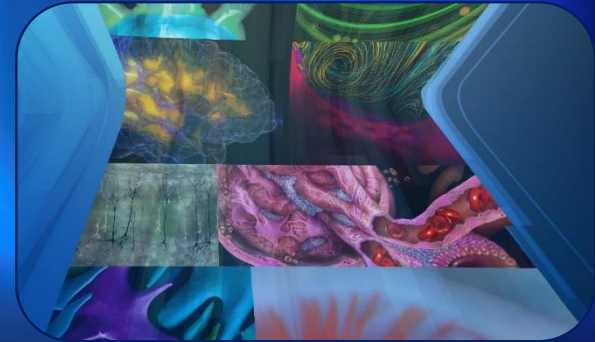
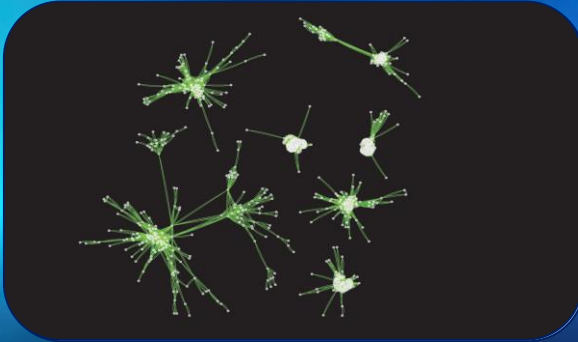
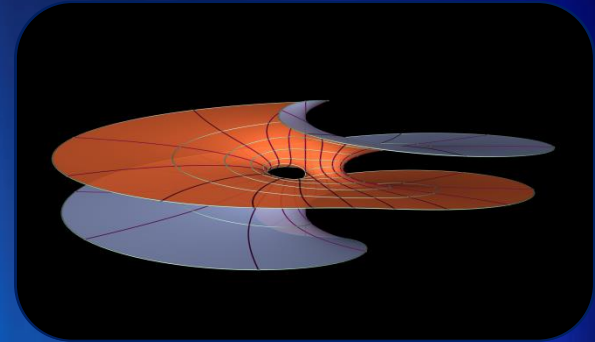
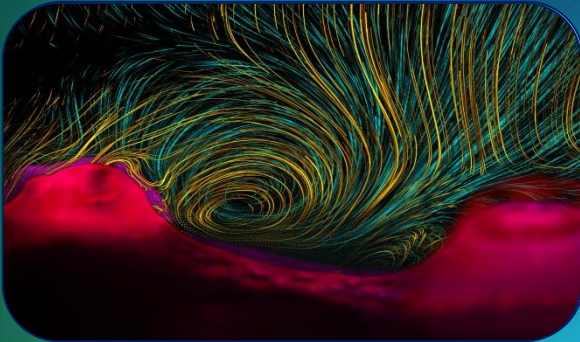


Clean Energy



NSF's Organization

The NSF Directorates and Offices



Geosciences (GEO)

Eric DeWeaver

Division of
edeweave@nsf.gov



- Manages the Climate and Large-Scale Dynamics Program (CLD)
- Formerly at UW-Madison, postdoc in Seattle
- Research interests in the dynamics of atmospheric circulation, Arctic sea ice
- Worked on polar bear listing decision for USGS

Geosciences (GEO)

Dr. Roger Wakimoto, Assistant Director
Dr. Margaret Cavanaugh, Deputy Assistant Director

**Division of Atmospheric and
Geospace Sciences (AGS)**

Paul Shepson, Division Director

**Division of Ocean Sciences
(OCE)**

Rick Murray, Division Director

**Division of Polar Programs
(PLR)**

Kelly Falkner, Division Director

Division of Earth Sciences (EAR)

Carol Frost, Division Director

Geosciences (GEO)

Directorate Priorities

- Support basic research in atmosphere, earth, ocean sciences, and polar studies
- Support research facilities and infrastructure (NCAR, research vessels, Antarctic base, Geochronology, EarthScope)
- Develop community-driven cyber-infrastructure
- Promote education and diversity in geosciences
- Initiatives in hazards and resilience and the water cycle (PREevents, INFEWS)



Recruiting Rotators

Nancy Roddy

Division of Human Resource Management

nroddy@nsf.gov



- **Advisor for special programs in a variety of business operations and human resources areas**
- **Focus on recruitment and outreach including social media**
- **See me to learn more about working at NSF!**

Recruiting Objectives for NSF



- **Build an increasingly diverse, engaged and high-performing workforce**
- **Effectively manage human capital**
- **Recruit rotators - come to my breakout session!**



Planting the Seed: Opportunities at NSF

Virtual NSF Days with FSU

February 25, 2016

Nancy Roddy, Advisor for Special Programs, OIRM/HRM

Tracy Rheaume, Student Trainee (HR Specialist), OIRM/HRM

Opportunities for scientists, engineers, and educators

- **Temporary program directors—called rotators.**
- In this leadership position, you will:
 - Make recommendations about which proposals to fund
 - Influence new directions in the fields of STEM
 - Support cutting-edge interdisciplinary research
 - Mentor junior research members.

In other words...

- As a rotator, you will be in a *prime position to collaborate with others* and *increase your visibility* as you *survey the entire breadth of U.S. and international science, engineering, and education* in real time.

Two Types of Rotators

VSEE

Visiting Scientists, Engineers
& Educators (VSEEs)

IPA

Intergovernmental Personnel
Act (IPA) Assignees

Rotational Program Hiring Options

While the two programs are similar, there are some key differences, a few of which are

IPA

IPA Assignments may be made to or from **Federal agencies** and **state and local governments**; private and public **colleges and universities**; **Indian Tribal governments**; **federally funded research and development centers**; and **qualified non-profit organizations** involved in public management.

VSEE

VSEE program allows NSF to work with the above **IPA eligible organizations**, but also with the **private sector (for profit)** as well.

Minimum Qualifications

- Program Officers come into NSF *with substantial post-doctorate experience* in a variety of areas relevant to their responsibilities and duties.

Minimum Qualifications required for a Program Officer include:

- a **Ph.D. or equivalent experience in a field relevant** to their responsibilities and duties
- **plus, after award of the PhD, six or more years of research, research administration, and/or managerial experience** pertinent to the position.

To Learn More Visit [NSF's Rotator Microsite](#)

The screenshot shows the NSF's Rotator Microsite homepage. On the left is a navigation menu with the NSF logo and 'ROTATOR POSITIONS' header, followed by links for HOME, VIDEO FAQs, ROTATOR TESTIMONIALS, and RECRUITMENT MATERIALS. The main content area features the heading 'SHARE IN THE DISCOVERY' and 'BECOME AN NSF ROTATOR'. Below this are three sections: 'Video FAQs' with a video thumbnail and a callout bubble stating 'FAQ's on becoming a rotator'; 'Rotator Testimonials' with a photo of a woman and a callout bubble stating 'Hear from former and current rotators'; and 'Recruitment Materials' with a photo of a woman. Each section includes a brief description and a 'Learn More »' link. At the bottom, a footer note directs users to the Career Opportunities page if they are interested in applying.

NSF ROTATOR POSITIONS

- HOME
- VIDEO FAQs
- ROTATOR TESTIMONIALS
- RECRUITMENT MATERIALS

SHARE IN THE DISCOVERY

BECOME AN NSF ROTATOR

Video FAQs

A rare opportunity for scientists, engineers, and educators to join as temporary program directors.

[Learn More »](#)

Rotator Testimonials

Learn first hand how serving as an NSF program director puts you at the forefront of discovery.

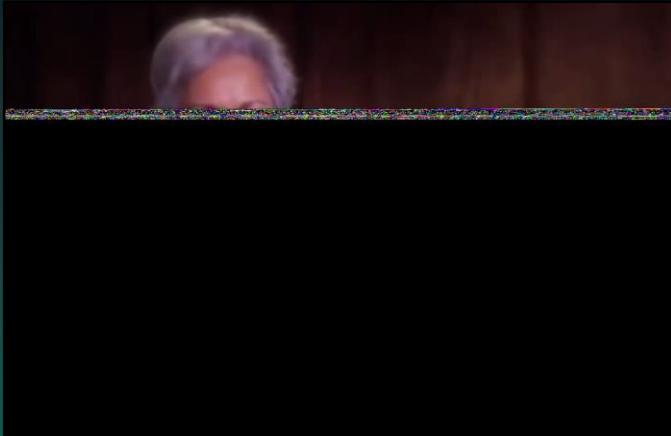
[Learn More »](#)

Recruitment Materials

Resource materials used for the recruitment of Program Directors.

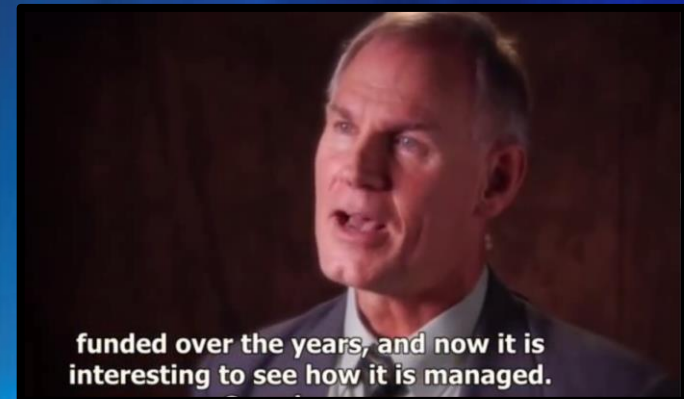
[Learn More »](#)

If you're interested in applying to NSF as a Rotator, please visit our [Career Opportunities](#) page.



Learn About

- **Rotator Overview**
 - [Term Length](#)
 - [Rotator Benefits and Opportunities](#)
- **Moving to the Area as a Rotator**
 - [Working and Living in Washington DC](#)
- **Applying and Becoming a Rotator at NSF**
 - [Continuing Your Research](#)



Thank you!

- If you're interested in applying to NSF as a Rotator, please visit our [Career Opportunities](#) page.
- Questions? Contact Nancy at nroddy@nsf.gov
 - Or Tracy at trheaume@nsf.gov

Faculty Early Career Development program “CAREER”



<http://www.nsf.gov/career>

CAREER Awards

Solicitation 15-555



Due Dates:	July 20, 2016	BIO, CISE, EHR
	July 21, 2016	ENG
	July 22, 2016	GEO, MPS, SBE

<http://www.nsf.gov/career>

CAREER Awards

Foundation wide

Supports junior faculty

Research and education integration

PECASE *(Presidential Early Career Award for Scientists and Engineers)*

eligibility



CAREER Awards

Stable support for 5 years

NSF wide: 400 per year

> \$400K – CISE, EHR, MPS, SBE

> \$500K – ENG, BIO, GEO/PLR



CAREER eligible investigators must:



Hold PhD (by proposal deadline)

Be employed in a tenure-track (or equivalent) position at an eligible institution as an Assistant Professor (until Oct 1st following deadline)

An eligible institution must be:

**An academic institution in the U.S.,
its territories or possessions, and
the Commonwealth of Puerto Rico
that award degrees in fields
supported by NSF.**



An eligible institution may also be:



Non-profit, non-degree-granting (e.g. a museum, observatory or lab) if the eligibility requirements of the PI are satisfied.

NSF encourages proposals from different institutional types, including minority serving and undergraduate institutions



CAREER eligible investigators may **NOT**:

- Receive tenure before Oct 1st following proposal deadline
- Have previously received a CAREER award
- Have had more than two CAREER proposals reviewed
- Be an untenured associate professor

CAREER varies across NSF

- Number of submitted CAREER proposals
- Review and Funding methods
- Other Proposals with which CAREERs compete



**NSF CAREER
Coordinating Committee
Sets NSF-wide goals**

CAREER Proposals

Contact program manager liaison* and ask about:

- Expectations for scope of research and education
- Assessment of 2-page departmental letter
- Funding rate trend for regular proposals in the program of interest

* see

<http://www.nsf.gov/crssprgm/career/contacts.jsp>

Are CAREER awards right for you?



Yes, if:

Your proposed research is innovative, ambitious and within NSF's the purview of research and education supported

You have support from your department/
organization, mentors.

You are at the right stage of your career.

CAREER Personnel and Budgets

YES

Consultants, subawards,
unpaid collaborators

Academic year buyouts
for teaching intensive institutions

NO

Co-PI, senior
personnel



CAREER Departmental 2 Page Letter

- Statement of PI CAREER program eligibility
- Support for PI's s proposed research and education activities
- Description of how the PIs career goals and responsibilities mesh with that of the organization and department
- Commitment to support professional development and mentoring of the PI
- NOT a letter of recommendation or endorsement of the PI or the research project

CAREER Awards Urban Myths

“You cannot apply because you have another NSF award. . .”

“It is an entry program, so you must first apply to CAREER. . .”

“I need to see a successful proposal to write a successful proposal. . .”

“You have no chance, if you are not from a research intensive institution..”

“CAREER proposals are more portable than other NSF funding.”

“The education component does not matter.

“I read on the web that to succeed, I have to....”



Traits of a Successful CAREER Proposal



High quality -- This is a highly competitive program!

Matches disciplinary program expectations

Includes an appropriate scope of activities for a 5-year plan, not one's whole life!

Goes outside the education box of regular research proposals in the field

Strikes a balance between doable research activities and more risky pursuits

PECASE:
Presidential Early Career Awards for
Science and Engineering
April 18, 2014



CAREER Awards Resources:

- **Program Solicitation - NSF 15-555**
- **Frequently Asked Questions - NSF 15-057**
- **CAREER Directorate/Division Contacts**
 - **<http://www.nsf.gov/crssprgm/career/contacts.jsp>**
- **Links to recent CAREER and PECASE awards**

Questions?



Thank you for
Attending NSF's very first
virtual NSF Day!



Evaluation to Come!